# Thin Film XRD Training Notebook

Lab Manager: Dr. Perry Cheung
MSE Fee-For-Service Facility
Materials Science and Engineering
University of California, Riverside

September 7, 2024 (rev. 1.3)

## Before you begin...

- ☐ Complete the required safety training modules on LMS
  - ☐ Laboratory Safety Fundamentals
  - ☐ Hazardous Waste Management
  - ☐ Radiation Safety For Users of Radiation Producing Machines
  - ☐ Compressed Gas Safety
- Submit a copy of your Training Transcript to Lab Manager
- Review the MSE Thin Film XRD Policies and Regulations
- Fill out the Thin Film XRD FAU Authorization Form with PI signature
- Receive a user name and temporary password for Faces scheduling
- ☐ Arrange a time for Thin Film XRD training with Lab Manager
- ☐ Schedule a 2 hour block on Faces for your training
- ☐ Receive a SmartLab II password

## Thin Film XRD (Rigaku SmartLab II) Operation

- A. XRD Cabinet Overview
- B. Measurement Basics
- C. GUI Basics
- I. Startup
- II. XRD Detector
- III. XRD Optics
- IV. XRD Sample Attachment
- V. RS Viewer
- VI. Utility Activity
- VII. General (PB) or  $2\theta/\omega$  Scan
- VIII. Azimuth or Phi (φ) Scan
- IX. Reflectivity
- X. Pole Figure
- XI. Rocking Curve
- XII. Reciprocal Space Map (RSM)

- XIII. In-Plane Measurement or  $2\theta_{\gamma}/\phi$
- XIV. In-Plane Azimuth or Phi ( $\phi$ ) Scan
- XV. In-Plane Pole Figure
- XVI. In-Plane RSM
- XVII. Monochromator Ge(220)x2
- XVIII. Grazing Incidence XRD or GIXRD
- XIX. Clean-up and Shutdown
- XX. Overnight Scan + Shutdown

#### <u>Troubleshooting</u>

- A. Initial Power Up
- B. Hypix Detector Troubleshooting

## A. XRD Cabinet Overview – 1/2

This covers the Rigaku SmartLab II XRD Cabinet and its components

(1) Power Key: Power key used to start and stop SmartLab

(2) Emergency OFF Switch: Press this switch in the event of an emergency to

cut off the power supply to the main unit

(2)

EMO

(3) Door: Opened to change samples and optical devices

(4) X-Rays ON Lamp: Lights when X-rays are generated

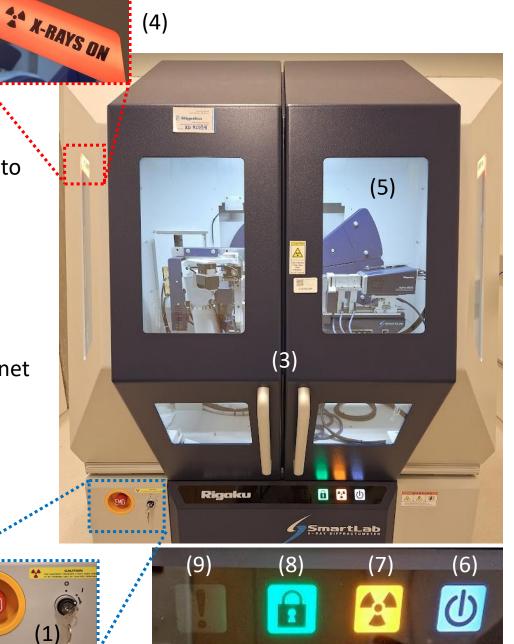
(5) Observation Window: Window used to observe the inside of the cabinet

(6) Power-on Indicator: Lights when SmartLab is powered ON

(7) X-RAYS ON Indicator: Lights when X-rays are generated

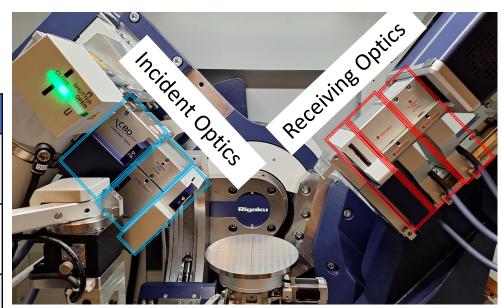
(8) Door-Lock Indicator: Lights when the door is locked

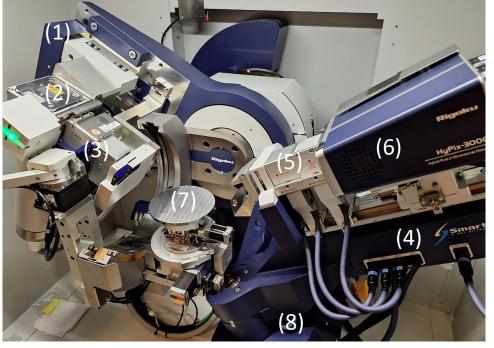
(9) Alarm Indicator: Flashes when an error occurs



## A. XRD Cabinet Overview – 2/2

(#)	Section	Description		
1	Theta_s ( $\theta_s$ ) arm	Arm for controlling X-ray beam incident angle		
2	X-ray Generator	X-ray generating device		
3	Incident Optics	Optical devices for desired incident X-ray conditions		
4	Theta_d ( $\theta_d$ )Arm	Arm for controlling the X-ray detector angle		
5	Receiving Optics	Optical devices for desired X-ray receiving conditions		
6	Detector	X-ray detector		
7	Sample	Adjusts the position and orientation of sample		
8	In-Plane Arm ( $\theta_{\chi}$ )	Theta_d arm used for In-Plane measurements		





## B. Measurement Basics – 1/10

o This summarizes the different Scans and Information obtained

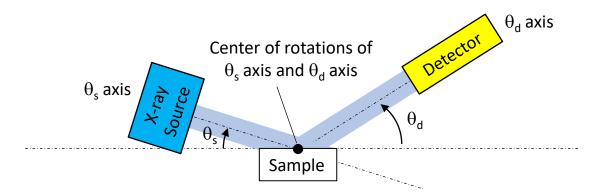
Measurement Technique (Scan)	Information	Scan Axis	
Out-of-Plane (1D)	Information on lattice plane → Qualitative analysis	$2\theta/\omega$ (Always $2\theta = 2 \times \omega$ )	
Thin Film (1D)	Information near sample surface (a → Qualitative analysis	$2\theta$ (Incident angle, $\omega$ , is fixed near the critical angle)	
In-Plane (1D)	Information on lattice planes near a → Qualitative analysis	$2\theta_\chi/\phi$ (Incident angle, $\omega$ , is fixed near the critical angle)	
Pole Figure (2D)	Information on distribution on $\rightarrow$ Orientation	$\chi(\alpha),\phi(\beta)$ (2 $\theta$ or sum of 2 $\theta$ and 2 $\theta_\chi$ is fixed at the diffraction angle)	
Preferred orientation and crystallinity measurement (1D)	Information on degree of preferred orientation or crystallinity  → Orientation and crystallinity analysis		ω, χ, or φ
Rocking Curve (1D)	Information on film structure and crystallinity of epitaxial or single crystal  → Crystallinity, film thickness, and composition ratio		2θ/ω
Reciprocal Space Map or RSM (2D)	Information on d-value of 3-Dimensional components of preferred orientation, crystal orientation, and degree of preferred orientation  → Qualitative analysis, orientation analysis, and crystallinity analysis	Information on film structure and crystallinity of epitaxial or single crystal  → Crystallinity analysis and epitaxial analysis	2θ/ω, ω (χ or φ) 2θ <sub>χ</sub> /φ, φ (χ or φ)
Reflectivity (1D)	→ Film thickness, density, and surface or interface roughness by fitting		2θ/θ

## B. Measurement Basics – 2/10

This covers the Goniometer Optics and Measurement Axes

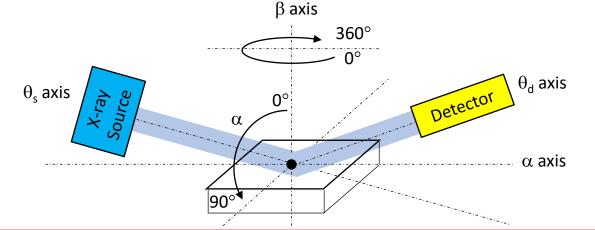
 $\theta_s$  axis = axis for setting angle of X-ray Source with respect to the Sample Surface (s = "source")

 $\theta_d$  axis = axis for setting angle of Detector with respect to the Sample Surface (d = "detector")



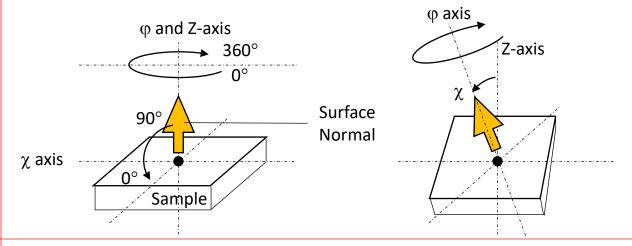
 $\alpha$  axis = rotation axis for setting tilt angle with respect to Z-Axis

 $\beta$  axis = sample rotation axis along Surface Normal (see  $\phi$  axis)



 $\phi$  axis = sample rotation axis around Surface Normal axis

 $\chi$  axis = axis for setting tilt of Surface Normal with respect to Goniometer Z-axis



#### Out-of-Plane Pole Figure Definitions

$$\alpha$$
 tilt = 90° -  $\gamma$  tilt

 $\beta$  rotation angle =  $\phi$  rotation angle

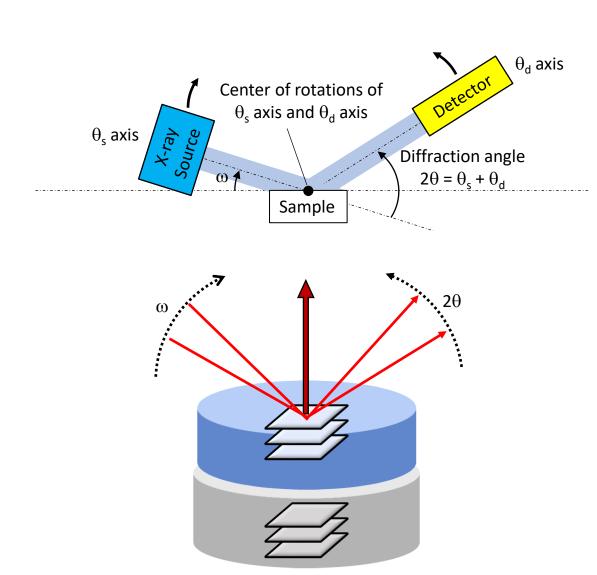
#### In-Plane Pole Figure Definitions

$$\alpha$$
 tilt =  $\chi$  tilt

 $\beta$  rotation angle =  $\phi$  rotation angle

## B. Measurement Basics – 3/10

 $\circ$  This covers the Out-of-Plane (1D) or General (PB) XRD or  $2\theta/\omega$  Measurement



#### Movement:

- $2\theta$  is driving arm;  $2\theta$  range = -10 to 158°
- $\omega$  is slave arm;  $\omega = \frac{1}{2}(2\theta)$

#### Pros:

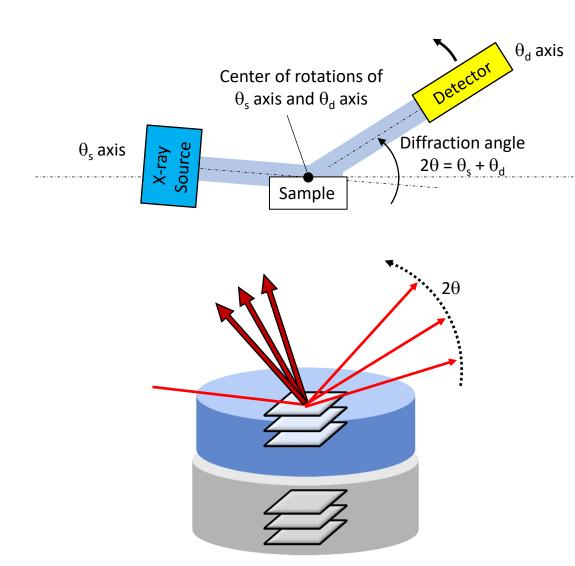
- Used for Qualitative analysis such as:
- Determining presence or absence of a preferred orientation
- Interplanar spacings of lattice planes parallel to surface
- Lattice constants corresponding to these interplanar spacings
- Crystallinity of a crystal lattice parallel to the surface

#### Cons:

- Cannot observe lattice planes perpendicular to surface
- Cannot provide information on presence or absence of the in-plane orientation
- Cannot distinguish between a fiber-oriented and a single crystal

### B. Measurement Basics -4/10

• This covers the Thin Film (1D) or Grazing Incidence XRD or GIXRD Measurement



#### Movement:

- $2\theta$  is driving arm;  $2\theta$  range = -15 to  $120^{\circ}$

#### Pros:

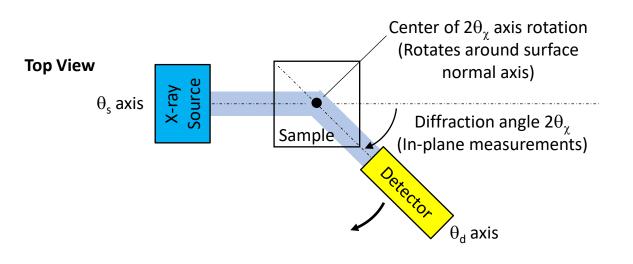
- Avoids scattering from the substrate
- Used for Qualitative analysis such as:
- For unoriented (or weakly oriented) polycrystal samples
- Lattice constants
- Crystallinity of a sample
- Depth dependence of above physical quantities

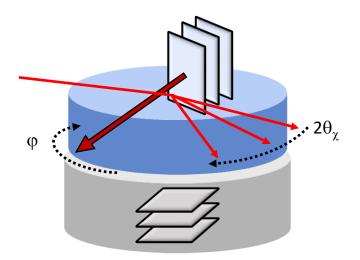
#### Cons:

Cannot be used to analyze strongly oriented polycrystal sample or single crystal

## B. Measurement Basics – 5/10

 $\circ$  This covers the In-Plane (1D) XRD or  $2\theta_{\gamma}/\phi$  Measurement





#### Movement:

- $2\theta_{\chi}$  is driving arm;  $2\theta_{\chi}$  range = -3 to 89°
- $\omega$  is set near a small critical angle usually between 0.1 to 1°
- $\varphi$  is slave arm;  $\varphi = \frac{1}{2}(2\theta_{\gamma})$

#### Pros:

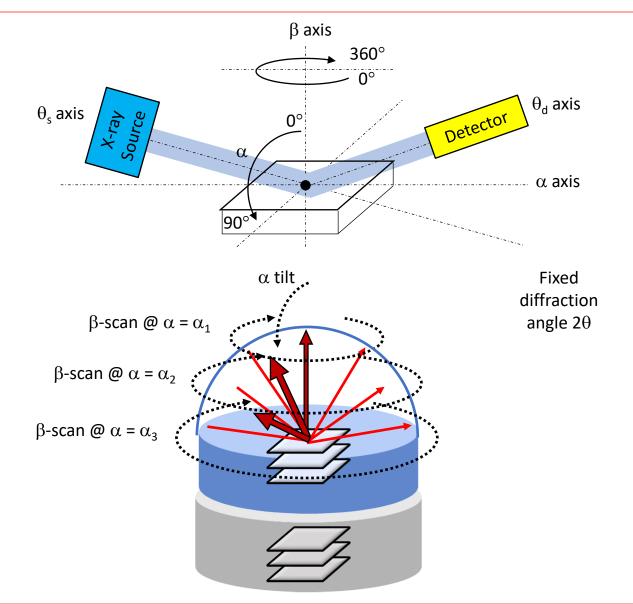
- Similar to GIXRD with respect to depth of analysis
- Used for Qualitative analysis such as:
- Investigating presence or absence of the preferred orientation
- Interplanar spacings of lattice planes perpendicular to the surface
- Lattice constants corresponding to these interplanar spacings
- Crystallinity of the crystal lattice perpendicular to the surface
- Presence or absence of in-plane orientation
- Distinguish between fiber-oriented sample and single crystal or confirm presence or absence of twinning

#### Cons:

- Cannot observe lattice planes parallel to surface
- Cannot provide information on presence or absence of the outof-plane orientation

## B. Measurement Basics – 6/10

This covers the Pole Figure (2D) Measurement



#### Movement:

- $2\theta$  is kept constant;  $\omega = \frac{1}{2}(2\theta)$
- $\alpha$  is stepped;  $\alpha$  range = -5 to 95°
- $\beta$  is continuously rotated;  $\beta$  range = -720 to 720°

#### Pros:

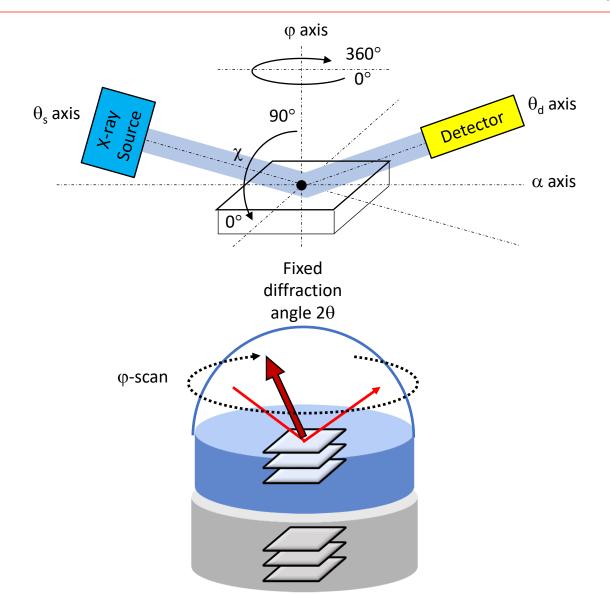
- Measures diffraction intensity distributions by rotating the sample in all directions while keeping the diffraction angle constant
- Direction at high diffraction intensity is observed corresponds to the preferred direction of the pole figure axes – indicating that crystallites with the measurement planes oriented in that direction are dominant

#### Notes:

- Remember that  $\alpha = 90^{\circ} \chi$  in SmartLab II
- Choose  $\alpha$  step values carefully!
- $\alpha$  step controls the resolution (and max intensity)
- Speed of  $\beta$  scan controls the sign-to-noise ratio of scans

## B. Measurement Basics – 7/10

 $\circ$  This covers the Preferred Orientation (1D) or Azimuth or  $\phi$  Scan Measurement



#### Movement:

- $2\theta$  is kept constant;  $\omega = \frac{1}{2}(2\theta)$
- $\varphi$  is continuously rotated;  $\varphi$  range = -720 to 720°

#### Pros:

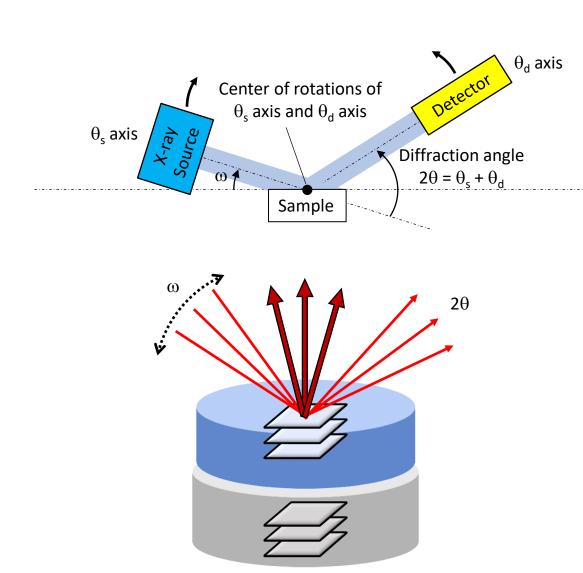
- Same underlying principles for pole figure measurement
- Measures a cross-section of a pole figure measurement
- Measures the spread (width) of diffraction intensity distribution
- Related to degree of preferred orientation (vs randomly orientated sample)
- Related to mosaicity (vs perfect single crystal)
- Quicker to perform than full pole figure measurement

#### Notes:

- Remember to optimize χ value first!
- Speed of  $\phi$  scan controls the sign-to-noise ratio of scans

### B. Measurement Basics – 8/10

This covers the Rocking Curve (1D) Measurement



#### Movement:

- $\omega$  is driving arm;  $\omega$  (relative) range = -5° to +5°
- $2\theta$  is kept constant;  $2\theta = 2\omega$

#### Pros:

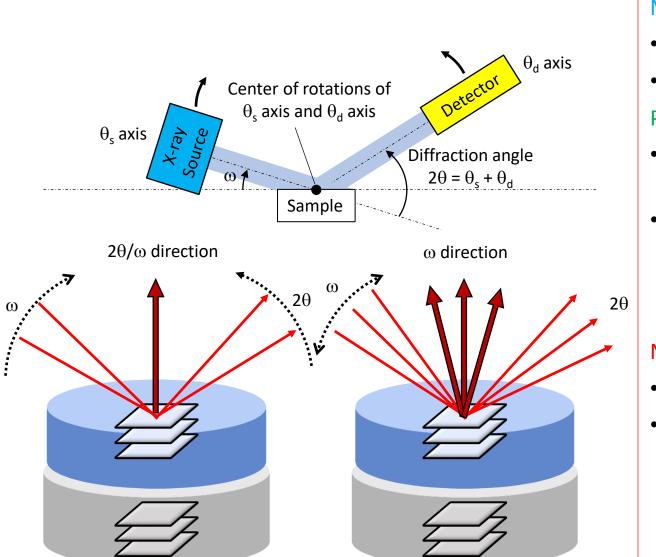
- Measures diffraction intensity distributions along a reciprocal lattice vector
- Planes no longer parallel with sample surface are brought onto the Bragg plane
- Measures changes in interplanar spacing
- Generally used to evaluate the thickness or mixed crystal ratio of an epitaxial film on a sample
- Width of rocking curve depends upon mosaic spread of the grains, density of dislocations, and substrate curvature
- FWHM is recorded and indication of quality of intended epitaxial growth or preferential orientation

#### Notes:

• Do not mix up width of rocking curves with the widths on the peaks in the  $2\theta/\omega$  scans

## B. Measurement Basics – 9/10

o This covers the Reciprocal Space Mapping or RSM (2D) Measurement



#### Movement:

- $2\theta/\omega$  scan is one mapped direction
- ω scan is second mapped direction

#### Pros:

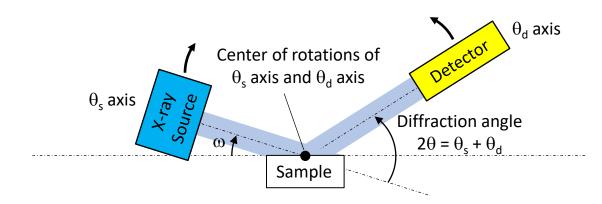
- Measures diffraction intensity distributions and plots result in reciprocal space
- Central coordinates, shapes, and positional relationships of the reciprocal lattice points (film and substrate) appearing in 2D map provides a wide range of info on crystal structure

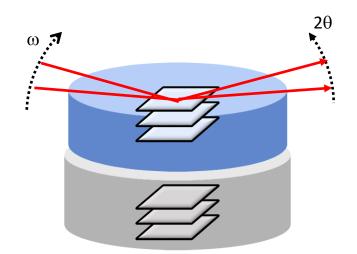
#### Notes:

- Each  $\omega$  step  $\rightarrow 2\theta/\omega$  scan is performed (q<sub>x</sub>)
- Each  $2\theta/\omega$  step  $\rightarrow \omega$  scan (Rocking Curve) is performed (q<sub>v</sub>)

### B. Measurement Basics – 10/10

This covers the Reflectivity (1D) Measurement





#### Movement:

- $2\theta$  is driving arm;  $2\theta$  range = 0 to  $10^{\circ}$
- $\omega$  is slave arm;  $\omega = \frac{1}{2}(2\theta)$

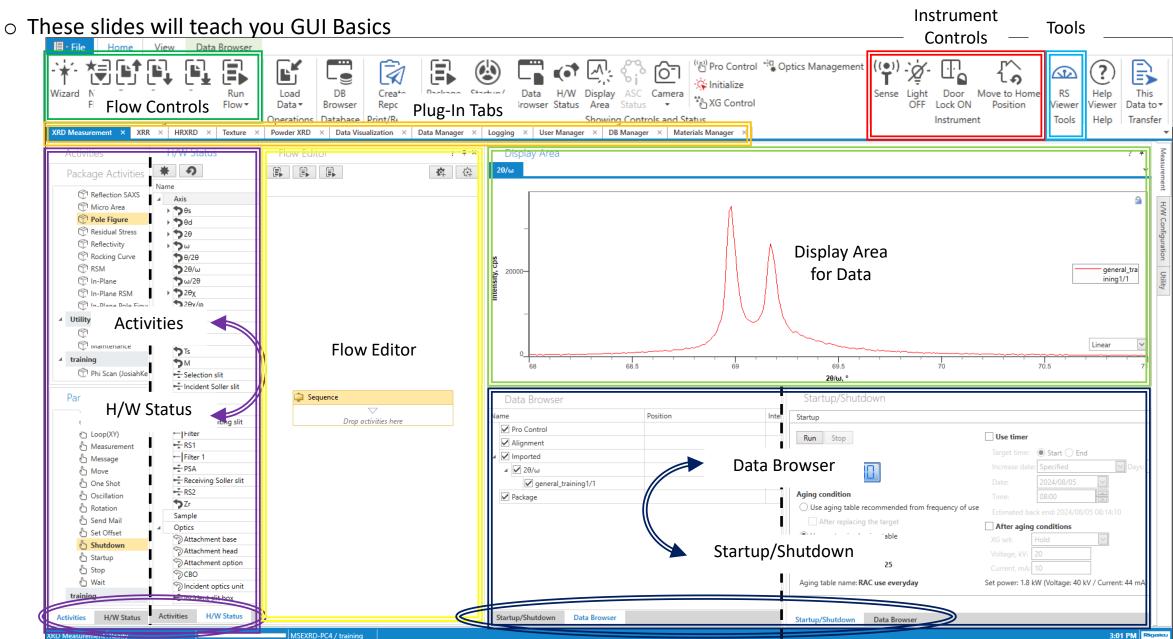
#### Pros:

- Can be used for crystalline or amorphous samples
- Evaluates thickness, density, and surface or interface roughness of thin-film materials
- Evaluates structure of a multilayer or single layer film
- Measures samples nondestructively

#### Cons:

- Requires surface and interfaces are flat
- May require monochromator to yield higher resolution for thicker films

## C. GUI Basics -1/3



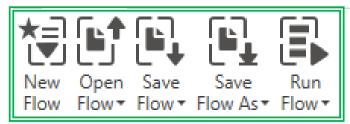
## C. GUI Basics -2/3

- Flow Controls used to control your Measurement Flow (or Measurement Program)
  - Wizard will help you selected the recommend packages for desired measurement/analysis

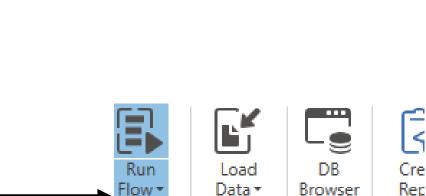


XRD Measurement

Do you want to create a new flow after removing the package in the flow?



- **New Flow** remove existing **Flow** and create a **New Flow** package
- Open Flow open existing Flow that you have saved in the File System
- Save Flow saves your edited Flow into the Files System
- Save Flow As saves your edited Flow as a new file into the Files System
- Run Flow
  - **Run Flow** runs your entire **Flow** from top to bottom
  - Run Selected Part only runs the Selected Part
  - Run from Selected Part will run your entire runs your flow from top to bottom starting from Selected Part (useful for Shutdown)



Run Flow

Run Selected Part

Run from Selected Part Ctrl+F7

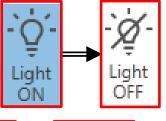
No

Ctrl+F5

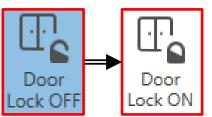
Ctrl+F6

### C. GUI Basics -3/4

- Instrument Controls useful controls for the Instrument
  - *Light* Turns the *Light* ON and OFF inside Cabinet







• Move to Home Position – Moves the Goniometer axes to the starting Home Position useful for exchanging optics



Move to Home

Position

Light

Lock ON

Instrument

<u>(12)</u>

RS

Viewer

Tools

• Tools Control – opens Reciprocal Space (RS) Viewer – see V. RS Viewer



## I. Startup -1/2

- This sequence is used for Initial Startup sequence
- 1. Sign-in on the *Sign-in Sheet*





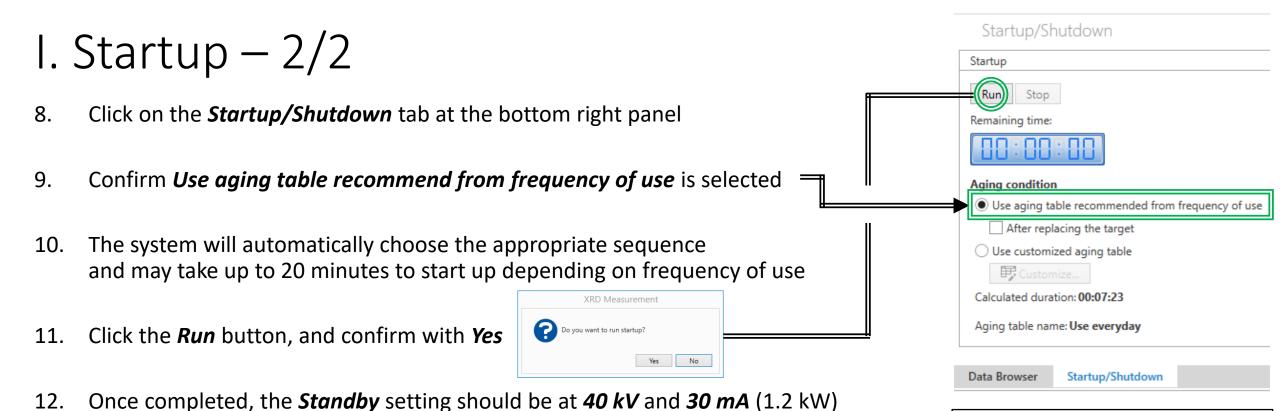
- 3. Enter your *Login* and *Password* 
  - Login: Faces Login Password: Provided by Lab Manager
- 4. Confirm that "XRD Measurement | Ready" is shown → XRD Measurement | Ready then proceed to Step 8
- 5. If *Status* is "XRD Measurement | Ready (Not Connected)", > XRD Measurement | Not ready (Connected) you will need to follow *Steps 6-7* to restart the *Server*
- 6. Access lower right *Hidden Icons* tray,



then find the *ICServerTaskTray* icon



7. If icon is not *Green*, then *Right-click* and click on *Restart* to enable, then proceed to *Step 8* 



Aging condition

After replacing the target

Use customized aging table

Customize...

Calculated duration: 00:03:06

Aging table name: RAC use everyday

Use aging table recommended from frequency of use

15. The *Operational Level* should now be set to *40 kV* and *44 mA* (1.8 kW) for normal operation

Now let's bring the system up to *Operational Level* by

Click the *Run* button again, and confirm with *Yes* 

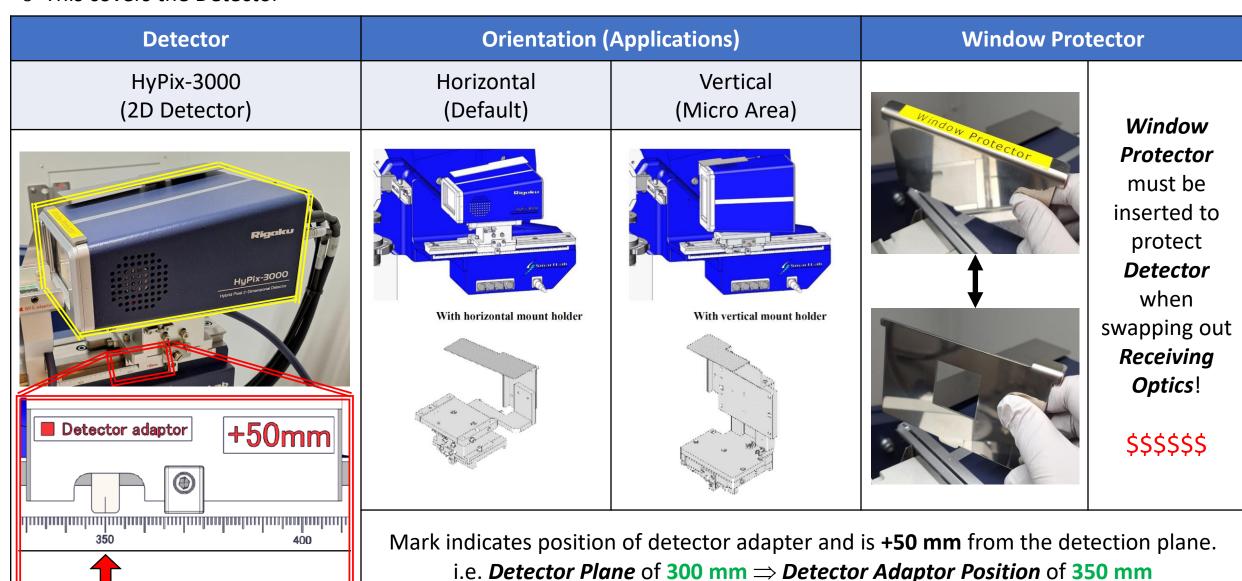
selecting *Use customized aging table* and *RAC use everyday is selected* 

13.

14.

## II. XRD Detector – 1/1

This covers the Detector



## III. XRD Optics – 1/6

This covers the Incident Optics Unit #1

Incident Optics Unit #1	Incident Optics			
CBO – Cross Beam Optics	Parallel beam method (PB)	Para-focusing method (BB)	Micro Area (MA) 0.5	Micro Area (MA) 0.3
Cross Beam Optics  PB  In-plane PSC 0.5deg  PB  IPS adaptor	OP	OBB	O MAS	O MO.

## III. XRD Optics -2/6

This covers the Incident Optics Unit #2

<ul> <li>Inis covers the incident Optics Unit #2</li> </ul>						
Incident Optics Unit #2		Incident Parallel Slits (Aperture) + IPS Adaptor				
Incident Parallel Slit (IPS) + Adaptor	Ge(220) 2-bounce monochromator	Soller Slit (Open)	Soller Slit (5.0deg)	In-plane PSC (0.5deg)		
CBO Cross Beam Optics  PB  In-plane PSC 0.5deg PB  IPS adaptor	◆ Ge(220)x2  Soller slit open	Soller self open	Soller slit 5.0des  PS adaptor	In plane psG 0.5deg		

## III. XRD Optics – 3/6

o This covers the Incident Slit

	Length-Limiting Slit (Aperture)				
Incident Slit	10 mm	5 mm	2 mm	0.5 mm	0.2 mm
Insert Slit hugging LEFT edge	10			0	0.2

## III. XRD Optics -4/6

o This covers the Receiving Slit

Receiving Slit		kβ Filters (Thickness)		
Receiving Slit Box	Insertion Diagram	CuK β 1D (23 μm)	CuK β 15 μm (15 μm)	9 kW filter
Insert Card hugging RIGHT edge		▲ CuK β 1D	Cuk β 15 μm	9kW filter

## III. XRD Optics – 5/6

This covers the Receiving Optics Unit #1

Receiving Optics Unit #1	Parallel Slits Analyzers (Aperture) + ROD Adaptor			
Parallel Slit Analyzer (PSA) + Adaptor	PSA (Open)	PSA (0.5deg)		
PSA open  PSA open  PSA open  PSA open  ROD adaptor  RPS adaptor	PSA open  PSA open  ROD adaptor	PSA 0.5des  5  ROD adaptor		

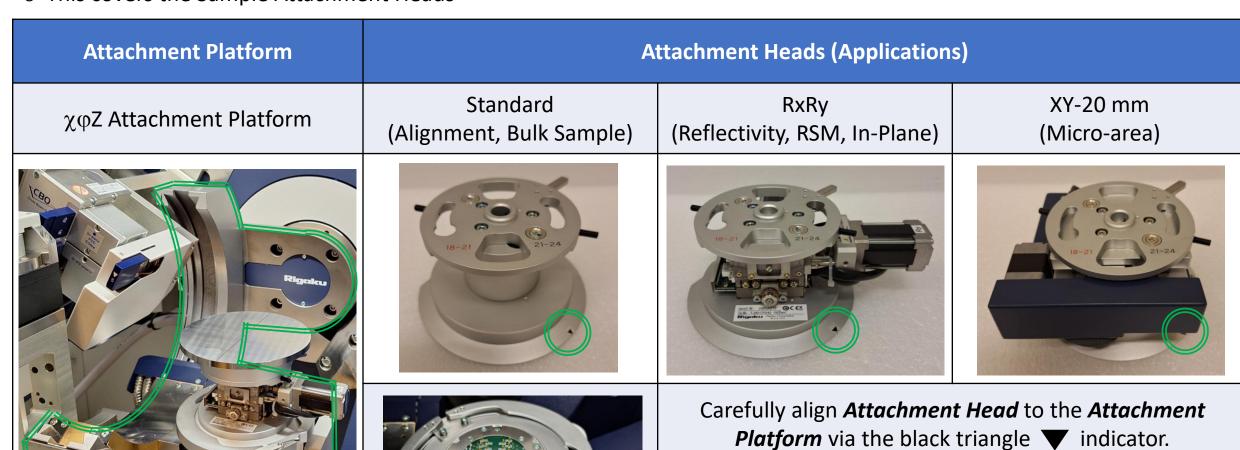
## III. XRD Optics – 6/6

This covers the Receiving Optics Unit #2

Receiving Optics Unit #2	Receiving Parallel Slits (Aperture) + RPS Adaptor			
Receiving Parallel Slit (RPS) + Adaptor	Soller Slit (5.0deg)	In-Plane PSA (0.5deg)		
PSA open  In-plane PSA 0.5deg  ROD adaptor	Soller slit 5.0des	In plane In psA 0.5des		
	RPS adaptor	RPS adaptor		

## IV. XRD Sample Attachment – 1/2

This covers the Sample Attachment Heads



Secure in place by closing the *Clasps* and *Front Latch*.

DO NOT BEND OR DAMAGE THE CONNECTOR PINS!

## IV. XRD Sample Attachment – 2/2

○ This covers the Sample Plates (Note: Does not have recognition chips – you must remove even if not instructed!)

Sample Plate	Picture	Installation	Removal
Height Reference Sample			
Sample Spacer			
Wafer Sample Plate			

## V. RS Viewer – 1/2

- o These slides will teach you about the Reciprocal Space (RS) Viewer
- 1. Click on the **RS Viewer** icon at the top of the **XRD Measurement** plugin

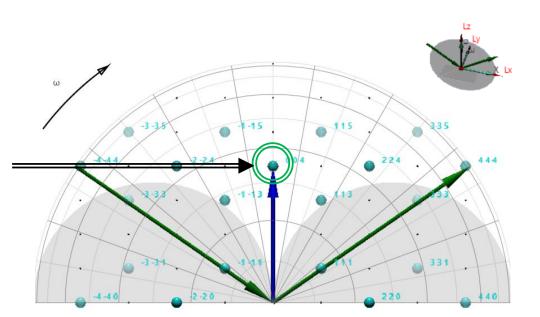


- 2. Click on the ? icon to open up the *RSViewer\_UserManual\_en.pdf* to review the tutorial
- 3. Add and edit layers to build up your substrate + films
- 4. Edit the *Samples Axes* (if known) to correlate actual sample to reciprocal lattice (e.g. Si wafer)  $\Longrightarrow$  Sample Axes Incident Sx Normal Sz [1 1 0] (0 0 1)
- 5. Set *Geometry* for your scans: *Out of plane* or *In-Plane*



6. Enter in desired reflection plane in *Origin* or select the *Shape Icon* 





Sample

Sample name:

Layer operations

Layer

Material

(001)

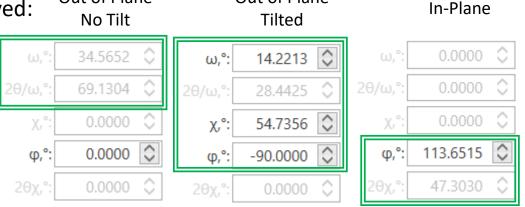
## V. RS Viewer -2/2

7. The goniometer settings to achieve desired diffraction will be displayed:

• Out-of-Plane – No Tilt Scans:  $\chi$  and  $\phi$  are both zero

• Out-of-Plane – Tilted Scans:  $\chi$  and/or  $\phi$  are non-zero values

• In-Plane Scans:  $2\theta_\chi$  is diffraction angle



Out of Plane

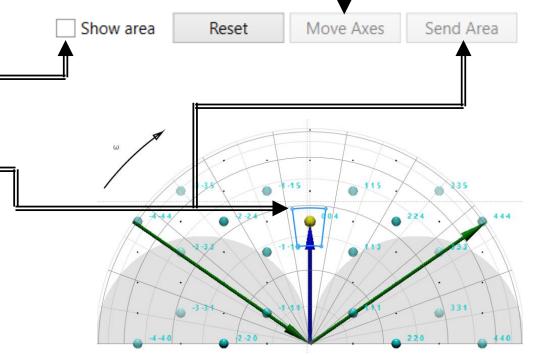
Out of Plane

8. In order to achieve desired diffraction orientation, you will need to click on *Move Axes* =

9. Click on **Show area** checkbox will show you the corresponding scanned area for performing **Reciprocal Space Mapping (RSM)** 

10. The area used for **RSM** can be manually adjusted visually = and then applied to **RSM Measurement** step via **Send Area** 

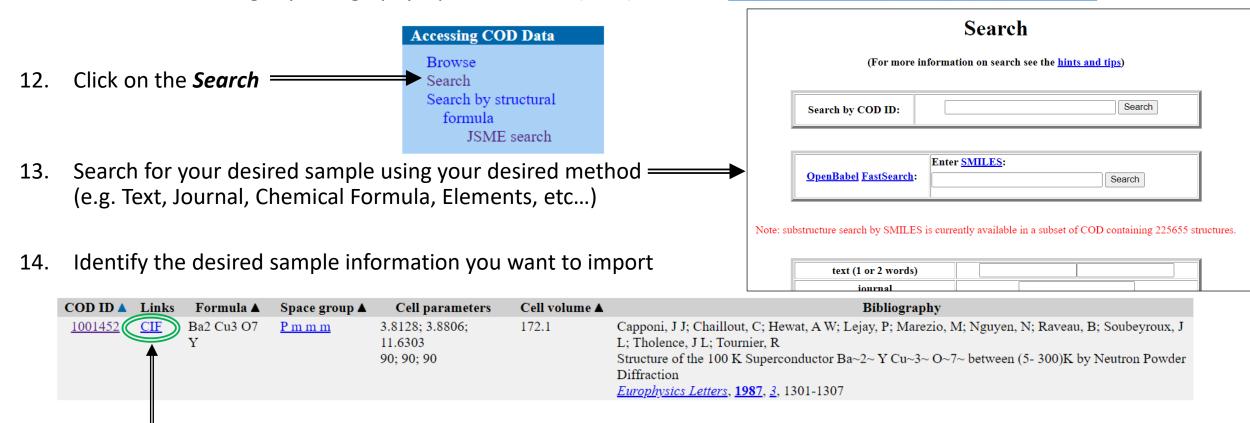
• Only works if *RS Viewer* is launched from *RSM Measurement* 



### V. RS Viewer – 3/4



- These slides will teach you how to load your sample information if not in the database already
- 11. Go to the following Crystallography Open Database (COD) website: <a href="https://www.crystallography.net/cod/">https://www.crystallography.net/cod/</a>

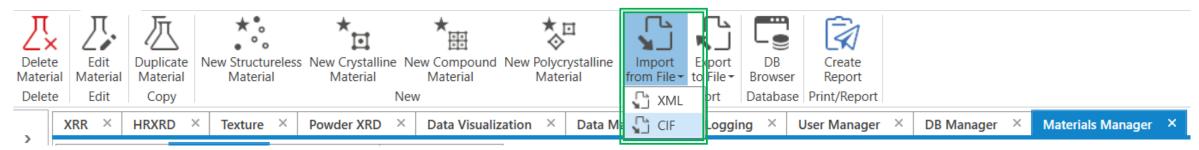


- 15. Click on the *CIF* link and download the file into your *CIF Folder*
- 16. Click on the *Materials Manager* in *SmartLab II*

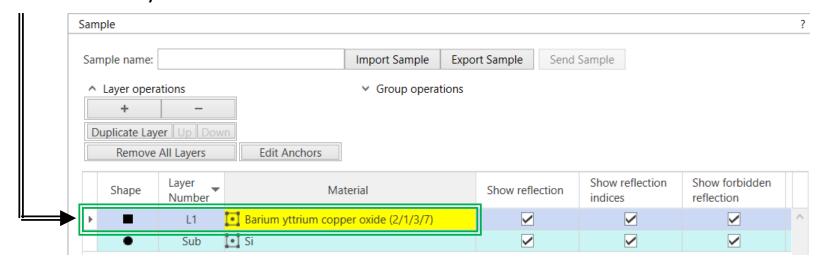


### V. RS Viewer -4/4

17. Click on the *Import from File* tab and select *CIF* 



- 18. Select the *CIF* file that you had downloaded
- 19. The sample information should now be available for you in the *Material* selection in *RS Viewer*





- This sequence will perform a Mirror Alignment and HyPix Adjustment BEFORE and AFTER using the Monochromator Ge(220)x2
- 1. Select the *Mirror Alignment* activity under *XRD Measurement > Part Activities*

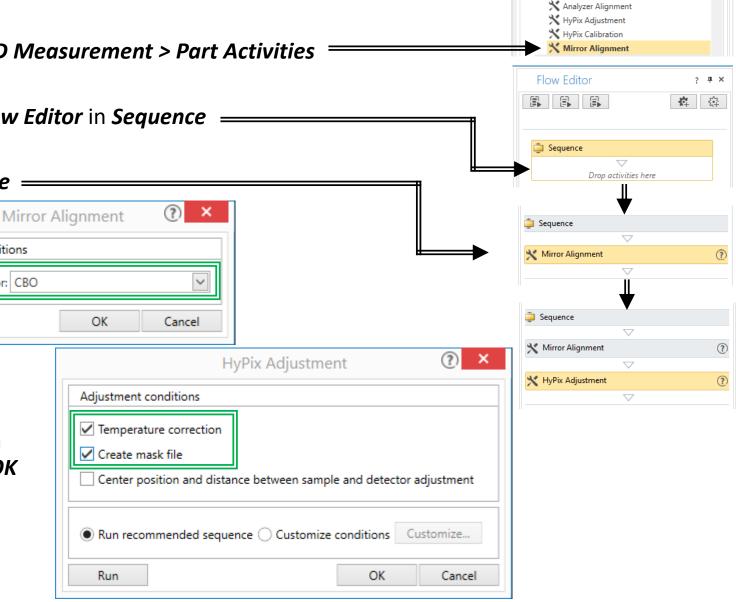
Alignment conditions

Alignment mirror: CBO

Run

- 2. Drag the *Mirror Alignment* activity into the *Flow Editor* in *Sequence*
- 3. Drag the *HyPix Adjustment* activity in *Sequence*
- 4. Click on *Mirror Alignment* activity and select *CBO*, and click *OK*

- 5. Click on *HyPix Adjustment* activity and confirm only the first 2 options are checked, and click *OK* 
  - a) Temperature correction
  - b) Create mask file



HRXRD

Activities

Part Activities

Utility Activity

## VI. Utility Activity -2/2

6. Click on Run Flow to perform Mirror Alignment and HyPix Adjustment automatically



7. A **Smart Message** will appear indicating all the optics and attachments that need to be **removed** (indicated in **RED**) and those that need to be **installed** (indicated in **GREEN**)

8. Proceed to perform each step in sequence and click **OK** when completed =

Note: Your *Smart Message* may differ from example shown

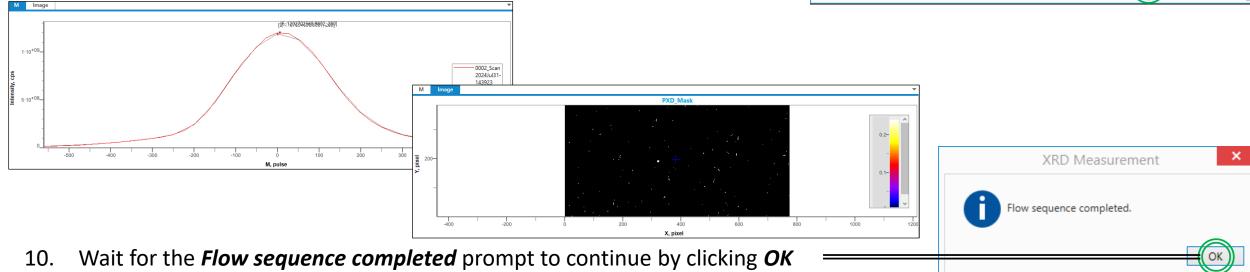
9. Mirror Alignment will be performed first, followed by HyPix Adjustment

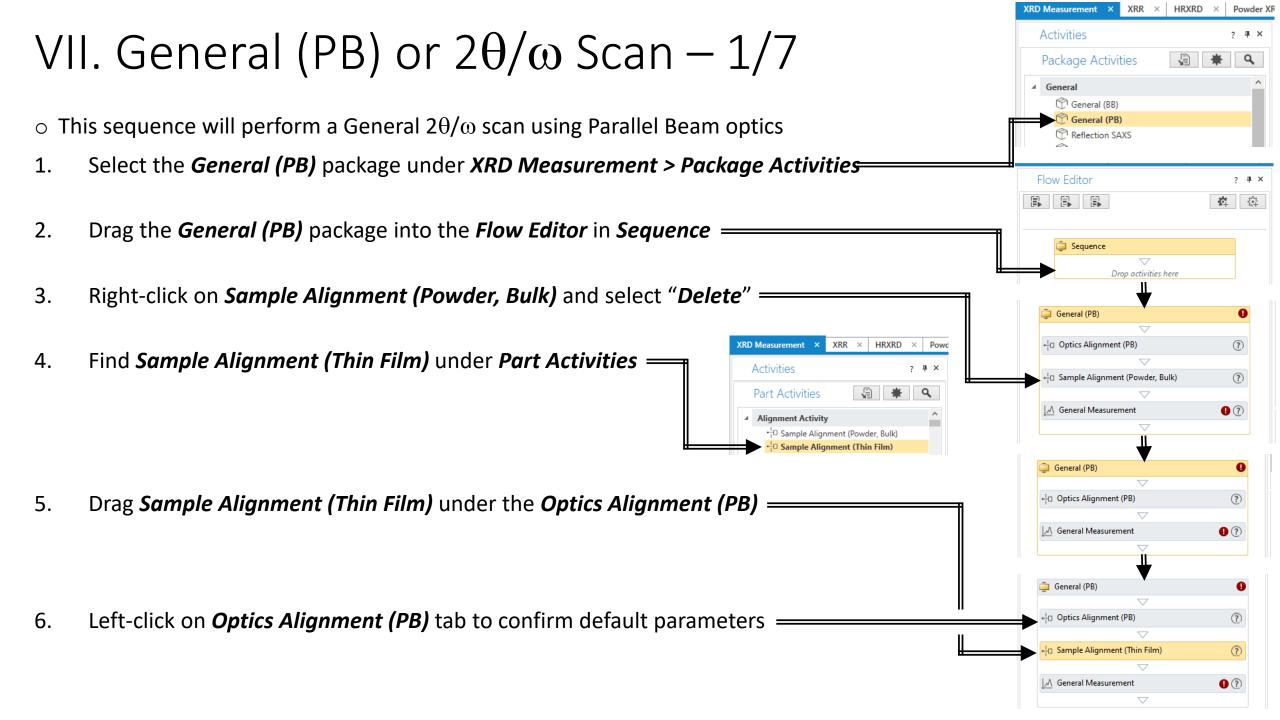
Smart Message

Make sure that there is no sample.

Insert Kβ filter 1D for Cu in receiving slit box.

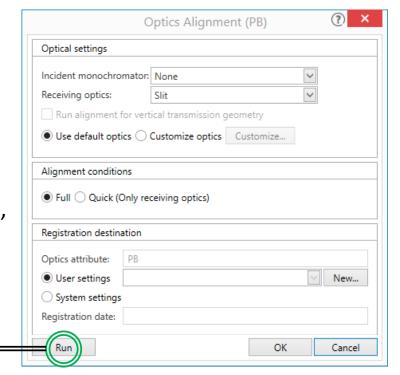
Stop



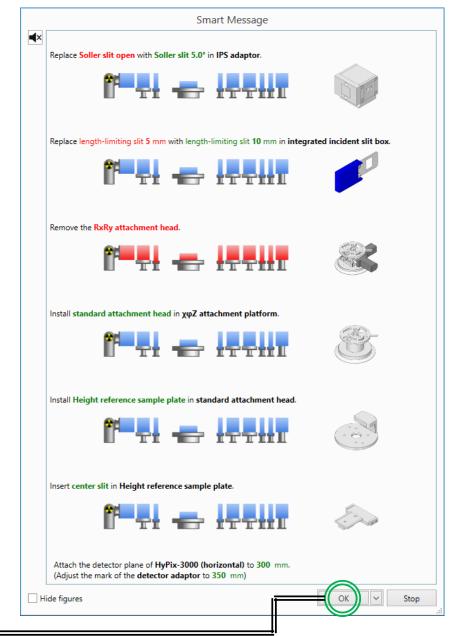


#### VII. General (PB) or $2\theta/\omega$ Scan -2/7

- 7. Confirm *Use default optics* is selected under *Optical settings*
- 8. Confirm *Full* is selected under *Alignment conditions*
- 9. Confirm *User settings* is selected, then click *Run*

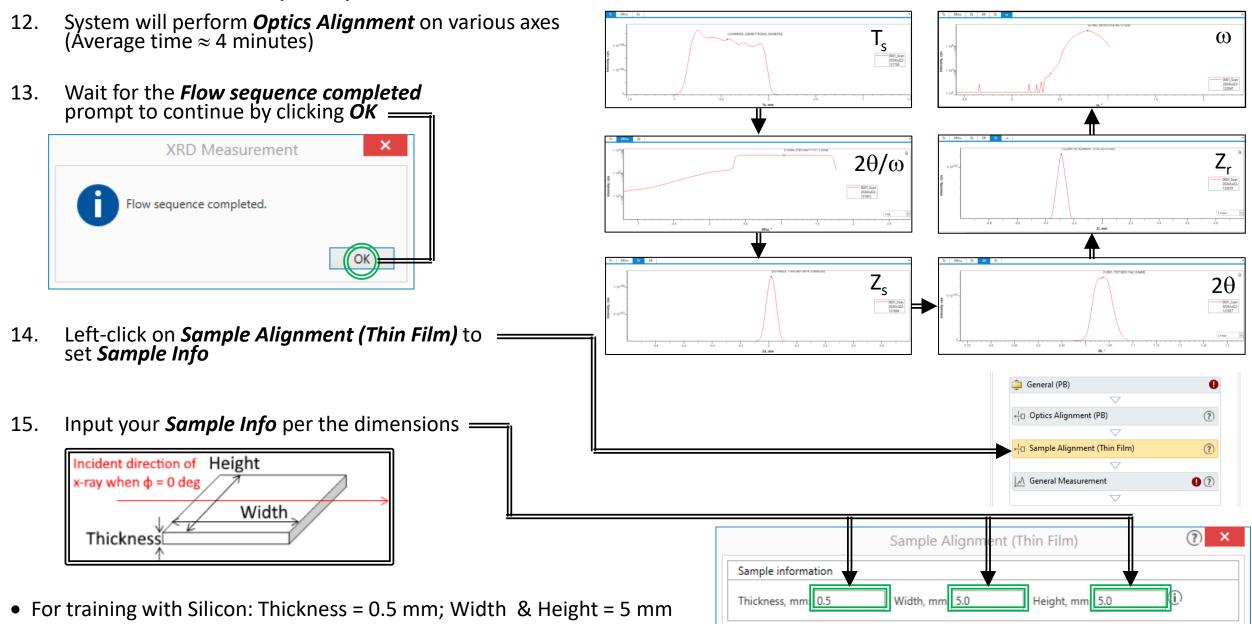


10. A **Smart Message** may appear indicating all the optics and attachments that need to be **removed** (indicated in **RED**) and those that need to be **installed** (indicated in **GREEN**)



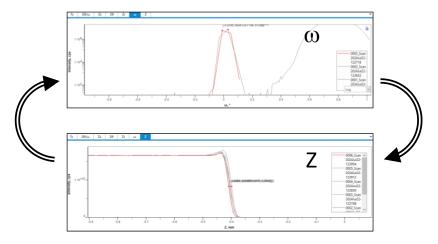
11. Click **OK** when completed —

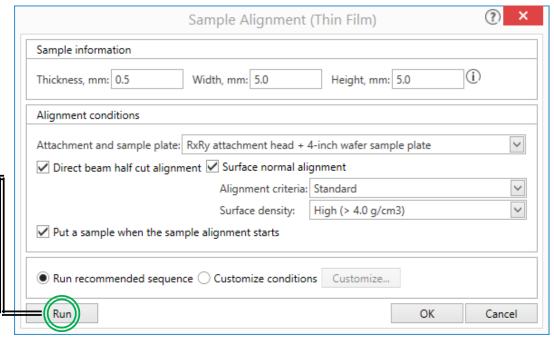
#### VII. General (PB) or $2\theta/\omega$ Scan -3/7



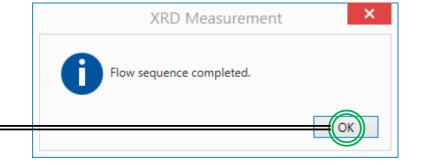
#### VII. General (PB) or $2\theta/\omega$ Scan -4/7

- 16. Confirm the following are checked:
  - Direct beam half cut alignment
  - Surface normal alignment
  - Put a sample when the sample alignment starts
- 17. Click *Run* (Average time  $\approx$  6 minutes) =



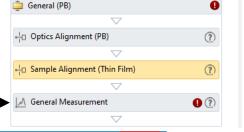


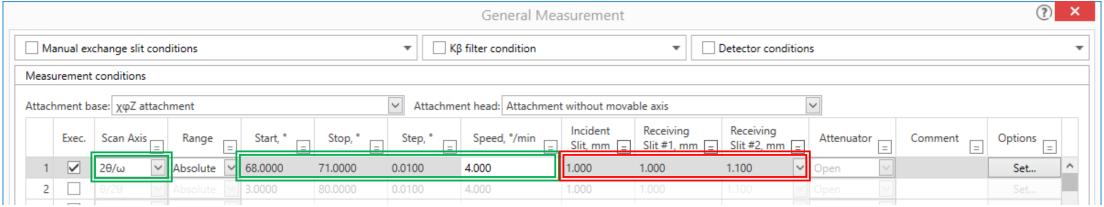
- 18. If **Sample Alignment (Thin Film)** fails, try changing surface density
- For training with Silicon: Surface density should be set to High
- 19. Wait for the *Flow sequence completed* prompt to continue by clicking *OK*



#### VII. General (PB) or $2\theta/\omega$ Scan -5/7

20. Left-click on *General Measurement* to set scan conditions:



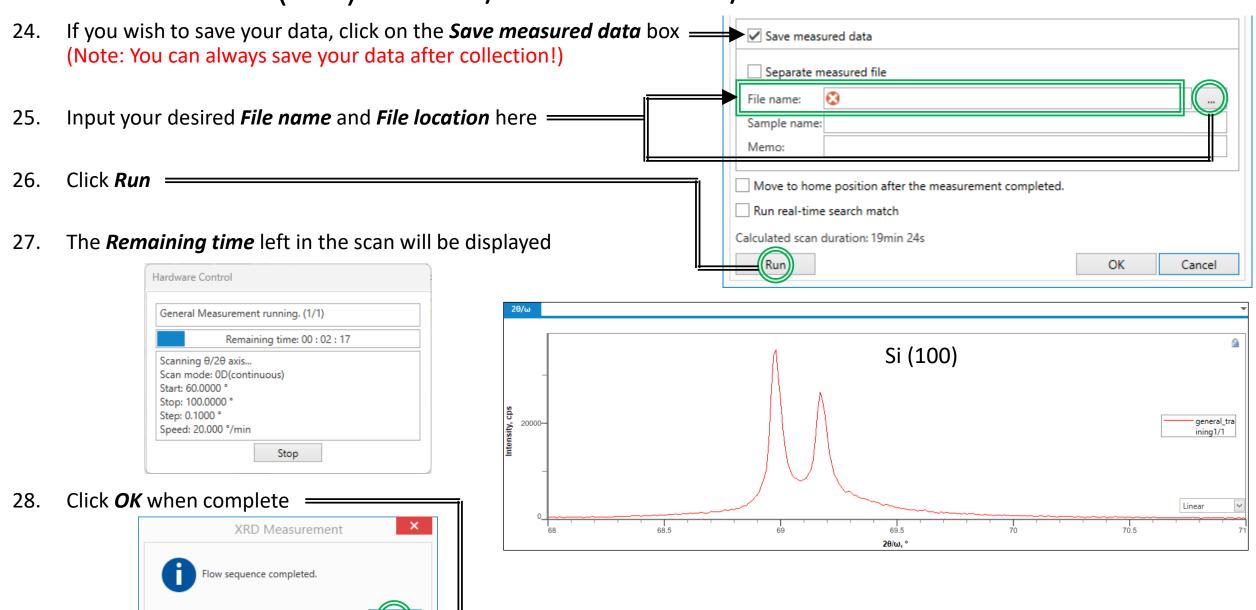


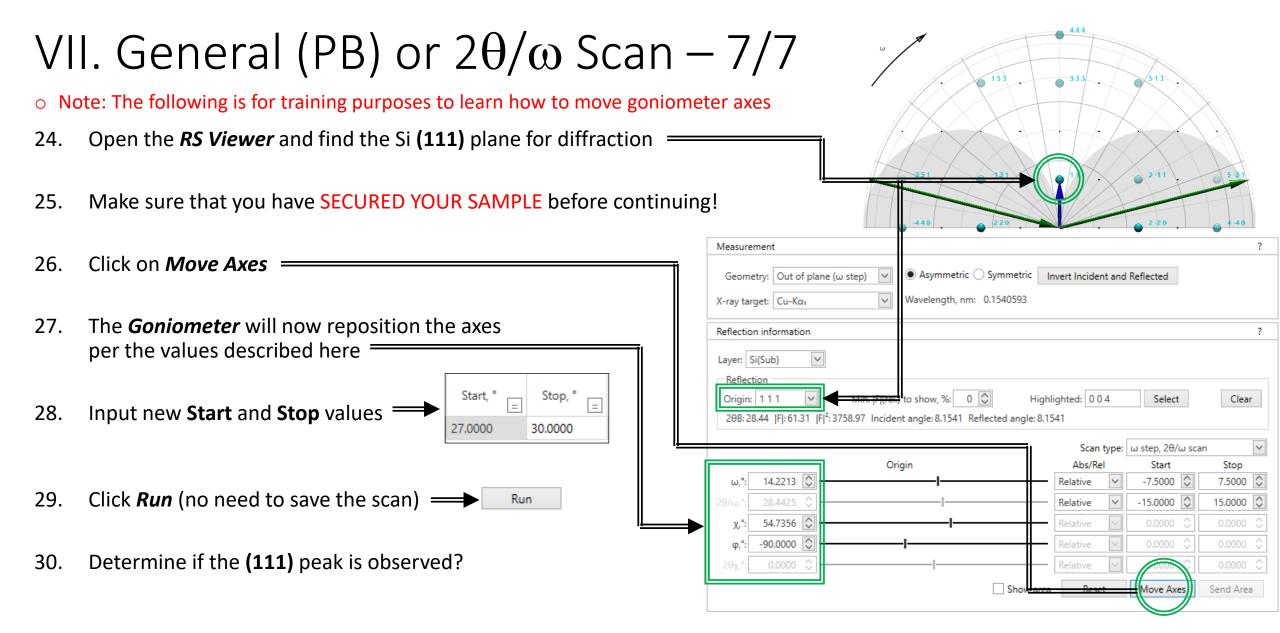
- 21. Select  $2\theta/\omega$  for the *Scan Axis*
- 22. Adjust the following parameters based on your desired scan conditions
  - Start, °: Enter starting scan position for  $2\theta$  angle (e.g.  $68^\circ$ )
  - **Stop,** °: Enter ending scan position for  $2\theta$  angle (e.g.  $71^\circ$ )
  - Step, °: Enter scan step size for  $2\theta$  angle (e.g.  $0.01^\circ$ ) controls resolution or spacing of data points
  - Speed, °/min: Enter the scan speed (e.g. 4°/min) controls the signal/noise (S/N) ratio
- 23. The following can be increased if you wish to increase the x-ray exposure to your sample in the width dimension
  - Incident Slit, mm
  - Receiving Slit #1, mm

• Receiving Slit #2, mm

Default values are automatically chosen based on sample dimensions

#### VII. General (PB) or $2\theta/\omega$ Scan -6/7

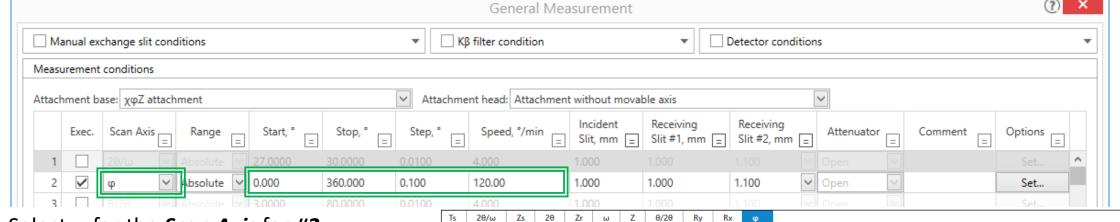




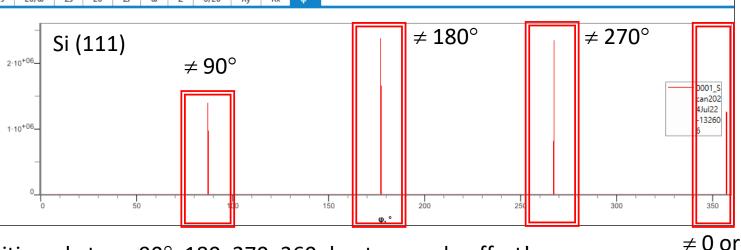
31. If the (111) peak is not observed  $\rightarrow$  sample orientation is mismatched with *RS Viewer* via the  $\varphi$  position

#### VIII. Azimuth or $\phi$ Scan – 1/3

- This sequence will perform an Azimuth or φ Scan
- 1. Left-click on *General Measurement*



- 2. Select  $\varphi$  for the **Scan Axis** for **#2**
- 3. Set *Start* = **0**° and *Stop* = **360**° *Step* = **0.1**° and *Speed* = **120**°/min
- 4. Click **Run** (no need to save scan)
- 5. Your spectra will look similar to this showing the **(111)** peaks are not precisely positioned at  $\varphi = 90^{\circ}$ , 180, 270, 360 due to sample offset!



360°

VIII. Azimuth or  $\varphi$  Scan – 2/3

6. Zoom-in one of the peaks...

7. Left-click on *General Measurement* again

8. Click on *Set...* under *Options* 

Options =

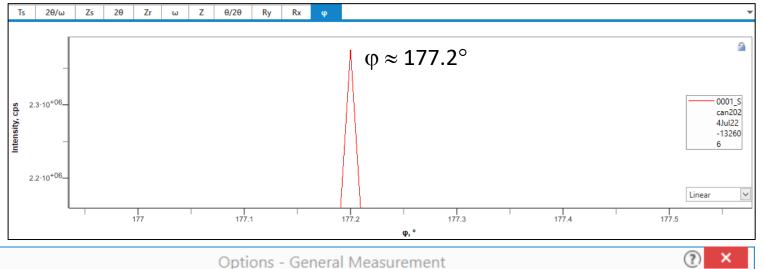
Exec.

Attachment base: χφΖ attachment

Axis

9. Set  $\varphi$  to the value of your peak position,

and click **OK** 



Origin (Center)

177.2

Attachment head: Attachment without movable axis

Oscillation Range (±)

Start

Stop

Speed



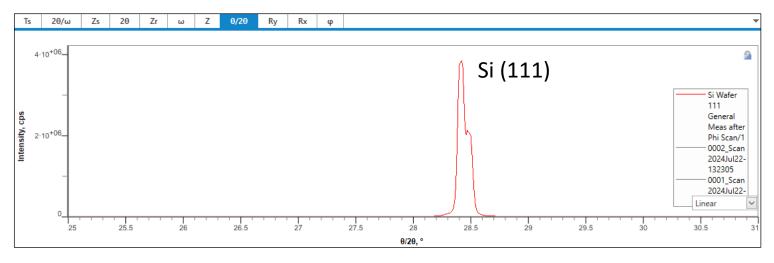
Move to origin

Action

11. Click *Run* again <del>──</del> Run

#### VIII. Azimuth or $\varphi$ Scan -3/3

12. You should see a similar spectra showing the (111) peak at around  $2\theta_b = 28.4^{\circ}$  as suggested by the *RS Viewer* 



- 13. Some measurements may perform "Pre-Measurements" that will identify and set the goniometer settings (e.g.  $2\theta$ ,  $\omega$ ,  $\varphi$ ,  $\theta_{\chi}$ , etc...) for your scans, but may not always succeed or be available
- 14. It is still up to the user to be comfortable controlling and moving the *Axes* and setting the *Origin* when appropriate

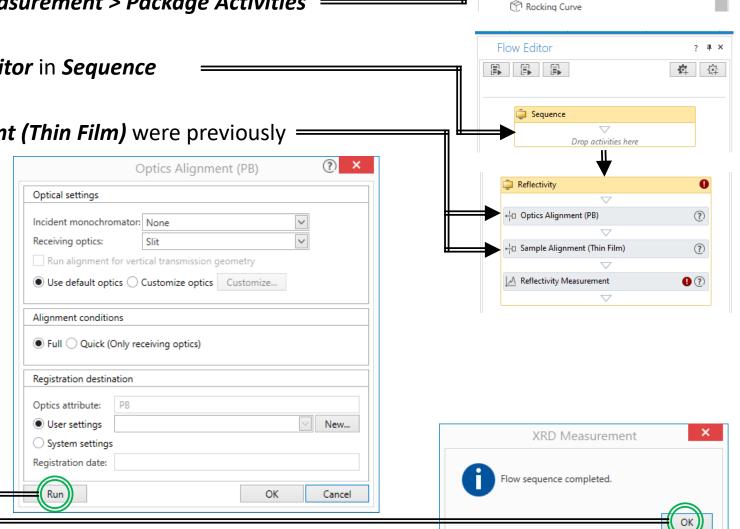


- This sequence will perform a Reflectivity Measurement
- 1. Select the *Reflectivity* package under *XRD Measurement > Package Activities*
- 2. Drag the *Reflectivity* package into the *Flow Editor* in *Sequence*

3. If Optics Alignment (PB) and Sample Alignment (Thin Film) were previously =

performed, then skip to **Step 11** 

- 4. Left-click on *Optics Alignment (PB)*
- 5. Confirm the following are selected:
  - Optical settings → Use default optics
  - Alignment conditions → Full
  - Registration destination → User settings
- 6. Click **Run** and then **OK** when completed



Package Activities

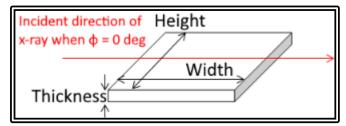
General (BB)
General (PB)
Reflection SAXS
Micro Area

Pole Figure
Residual Stress

General

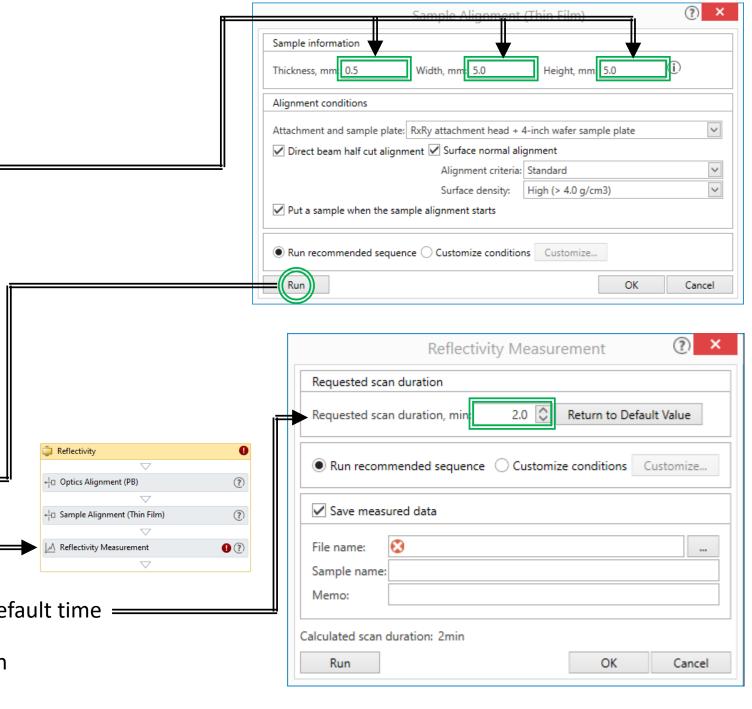


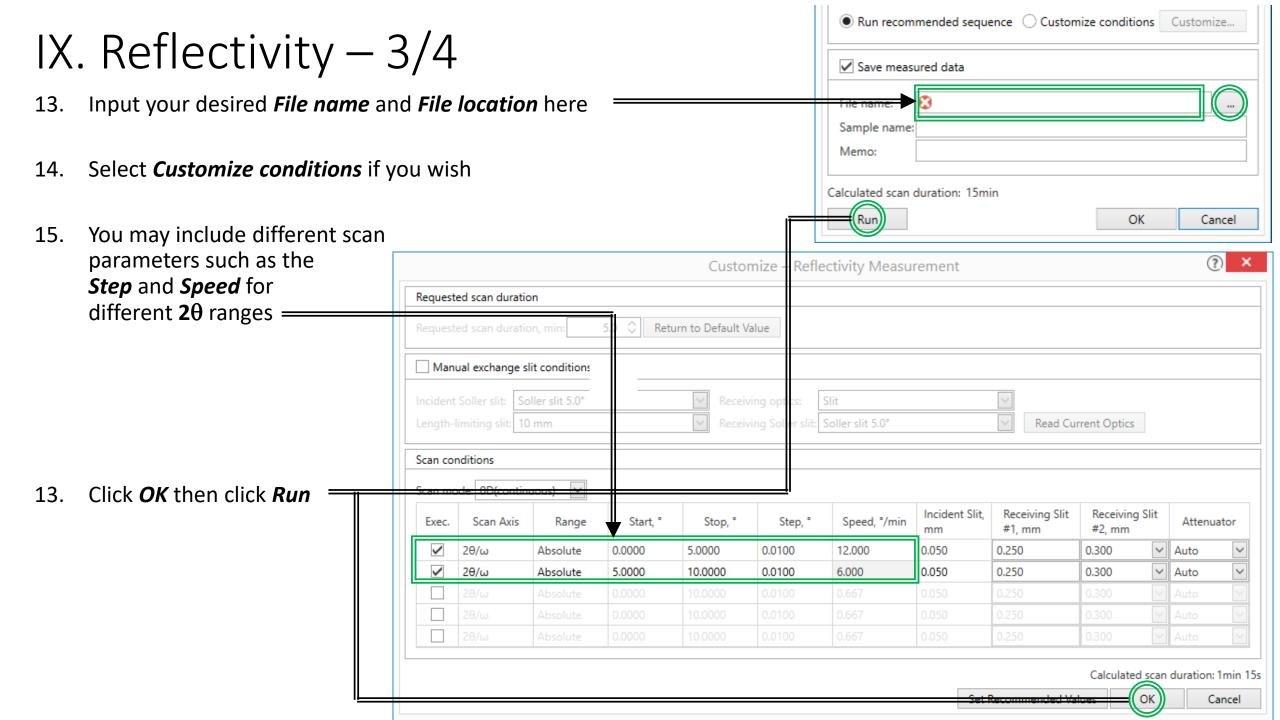
- 7. Left-click on *Sample Alignment (Thin Film)*
- 8. Input your *Sample Info* per the dimensions



- 9. Confirm the following are checked:
  - Direct beam half cut alignment
  - Surface normal alignment
  - Put a sample when the sample alignment starts
- 10. Click *Run* and then *OK* when completed
- 11. Left-click on *Reflectivity Measurement*
- L2. Adjust the Requested scan duration or use default time =

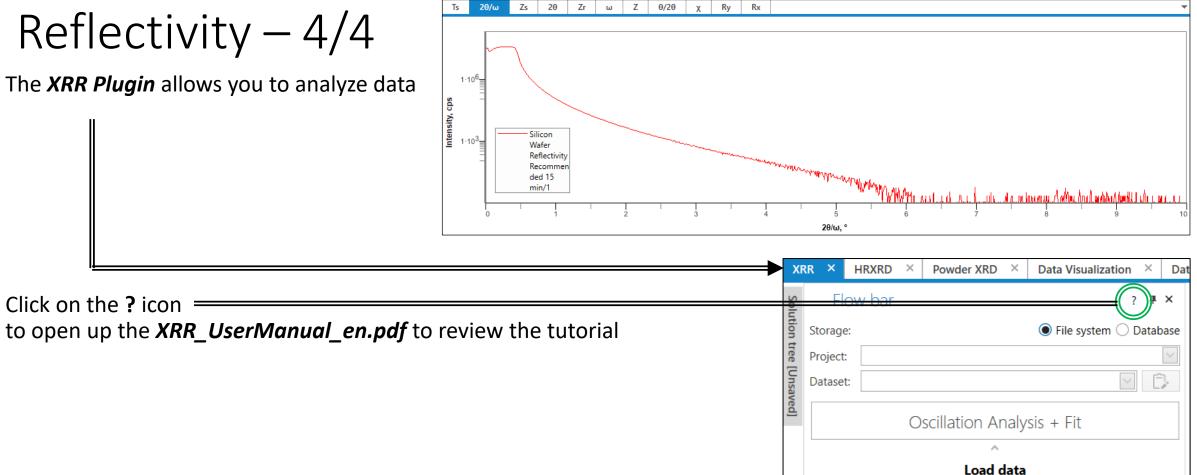
For training with Silicon: Set duration to 2 min





#### IX. Reflectivity -4/4

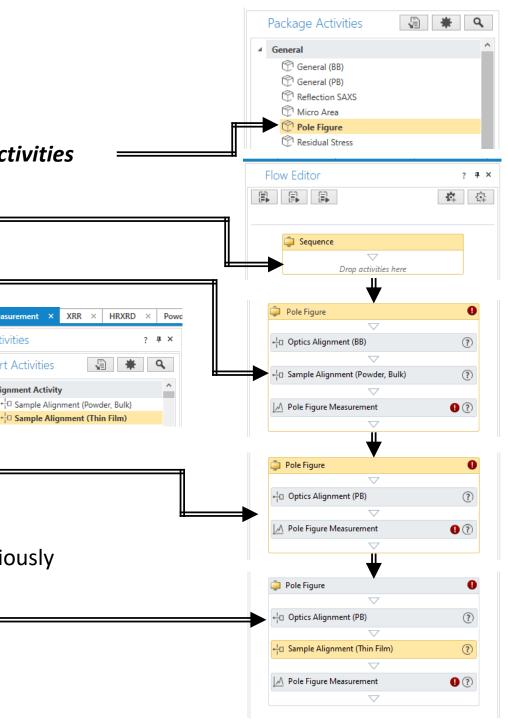
18.



Load template

# X. Pole Figure – 1/5

- This sequence will perform a Pole Figure using Parallel Beam optics
- Select the **Pole Figure** package under **XRD Measurement > Package Activities**
- 2. Drag the *Pole Figure* package into the *Flow Editor* in *Sequence*
- 3. Right-click on *Optics Alignment (BB)* and Sample Alignment (Powder, Bulk) and select "Delete"
- Find **Optics Alignment (PB)** and 4. Sample Alignment (Thin Film) under Part Activities
- 5. Drag Optics Alignment (PB) and Sample Alignment (Thin Film) above the **Pole Figure Measurement**
- 6. If **Optics Alignment (PB)** and **Sample Alignment (Thin Film)** were previously performed, then skip to Step 14
- Left-click on *Optics Alignment (PB)* 7.



XRR

Sample Alignment (Thin Film)

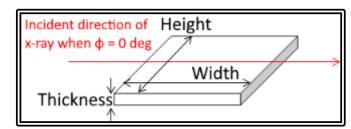
Activities

Part Activities

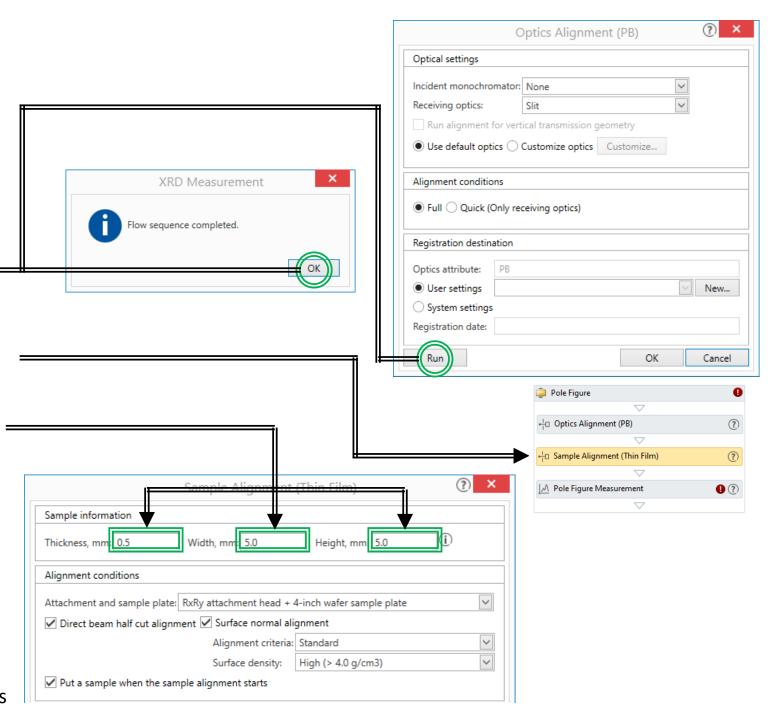
Alignment Activity

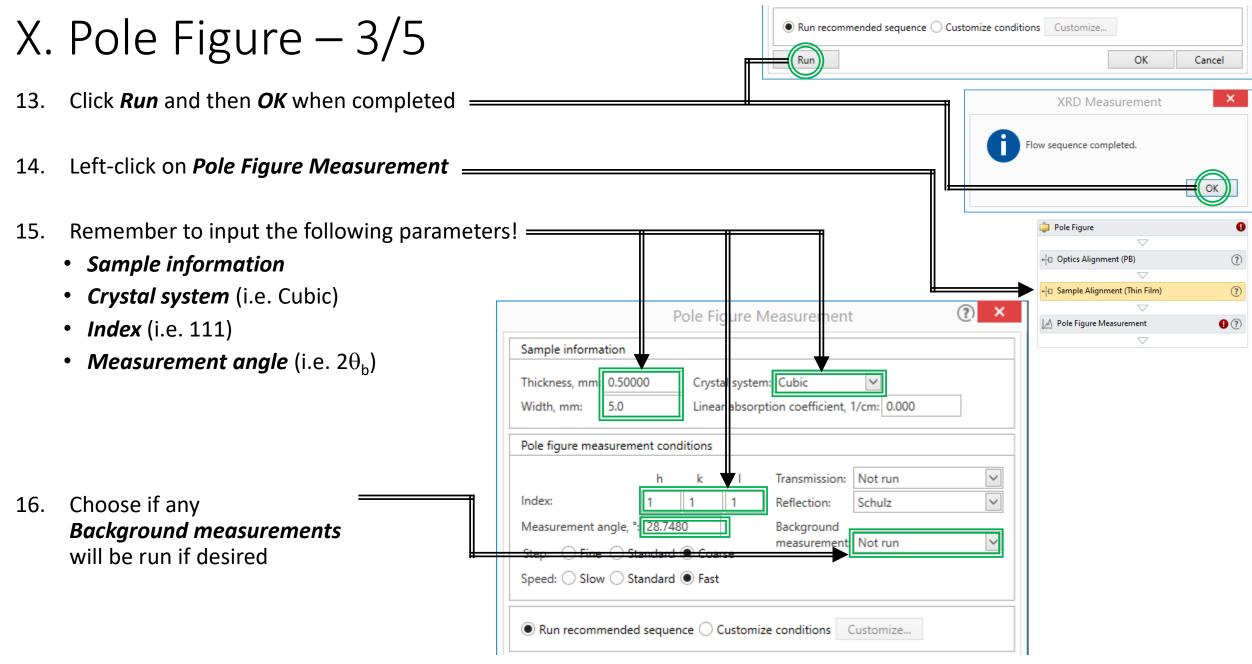


- 8. Confirm the following are selected:
  - Optical settings → Use default optics
  - Alignment conditions → Full
  - Registration destination → User settings
- 9. Click **Run** and then **OK** when completed
- Left-click on Sample Alignment (Thin Film)
- 11. Input your *Sample Info* per the dimensions —



- 12. Confirm the following are checked:
  - Direct beam half cut alignment
  - Surface normal alignment
  - Put a sample when the sample alignment starts

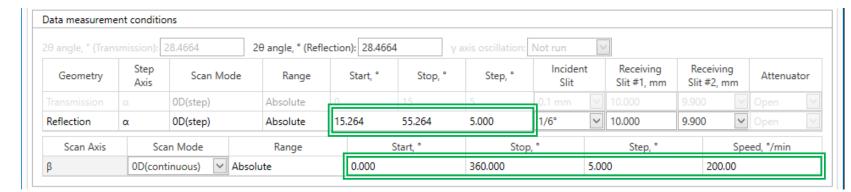




17. Choose to *Run recommended sequence* or *Customize conditions* 

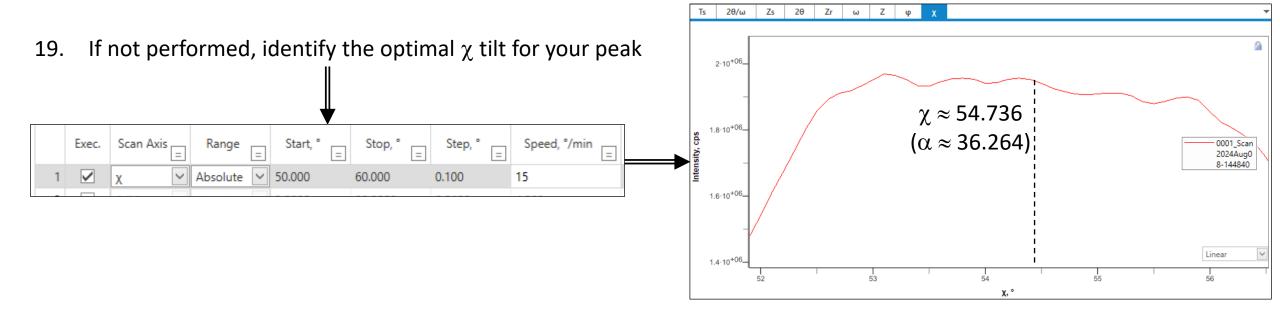
#### X. Pole Figure -4/5

- 18. Depending on your *Step* chosen, be aware that it may not be sufficient so choose the following carefully for  $\alpha$  scan axes!
  - Start
  - Stop
  - Step
  - Speed



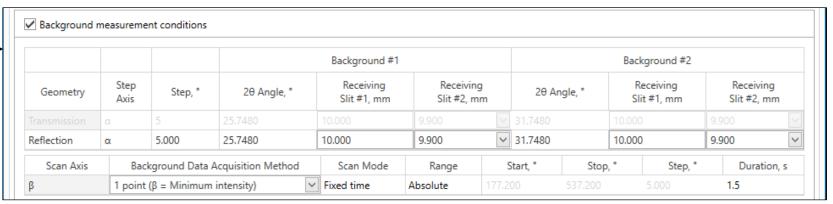
Remember that  $\alpha$  = 90° -  $\chi$ 

(Note: Will the peaks appear for  $\alpha$  if arbitrarily chosen?)



X. Pole Figure – 5/5

18. If *Background measurements* were selected, determine the desired conditions

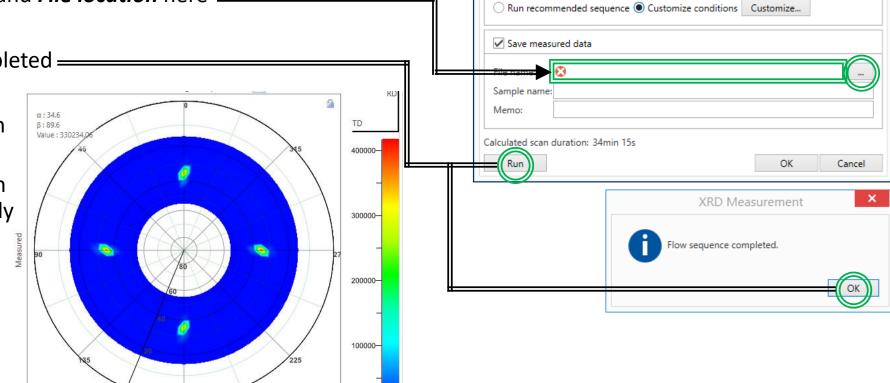


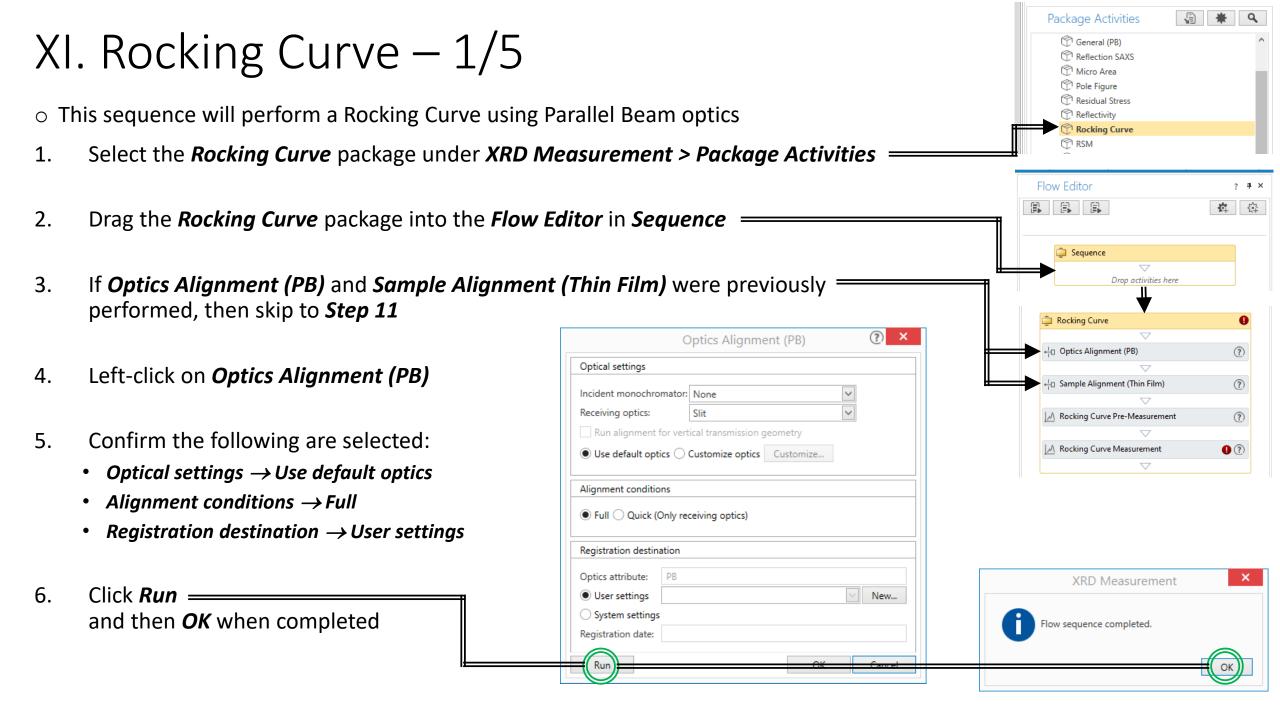
20. Input your desired *File name* and *File location* here =

21. Click *Run* then *OK* when completed =

For training with Silicon: Do not Run

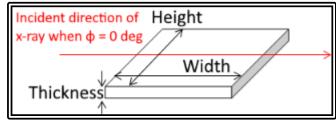
22. If the parameters were chosen properly, you should eventually see intensity peaks appear at the appropriate  $\alpha$  and  $\beta$  positions



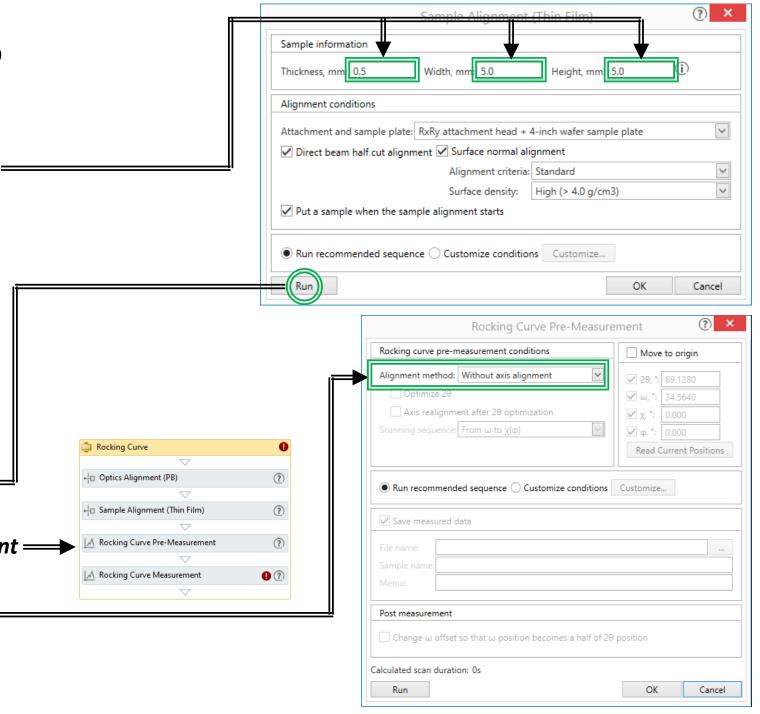


#### XI. Rocking Curve – 2/5

- 7. Left-click on *Sample Alignment (Thin Film)*
- 8. Input your *Sample Info* per the dimensions



- 9. Confirm the following are checked:
  - Direct beam half cut alignment
  - Surface normal alignment
  - Put a sample when the sample alignment starts
- 10. Click *Run* and then *OK* when completed
- 11. Left-click on *Rocking Curve Pre-Measurement*
- 12. Determine the desired *Alignment method* (see next page)



#### XI. Rocking Curve – 3/5

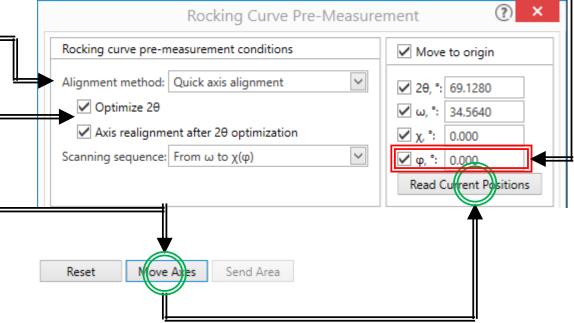
φ needs to be optimized separately!

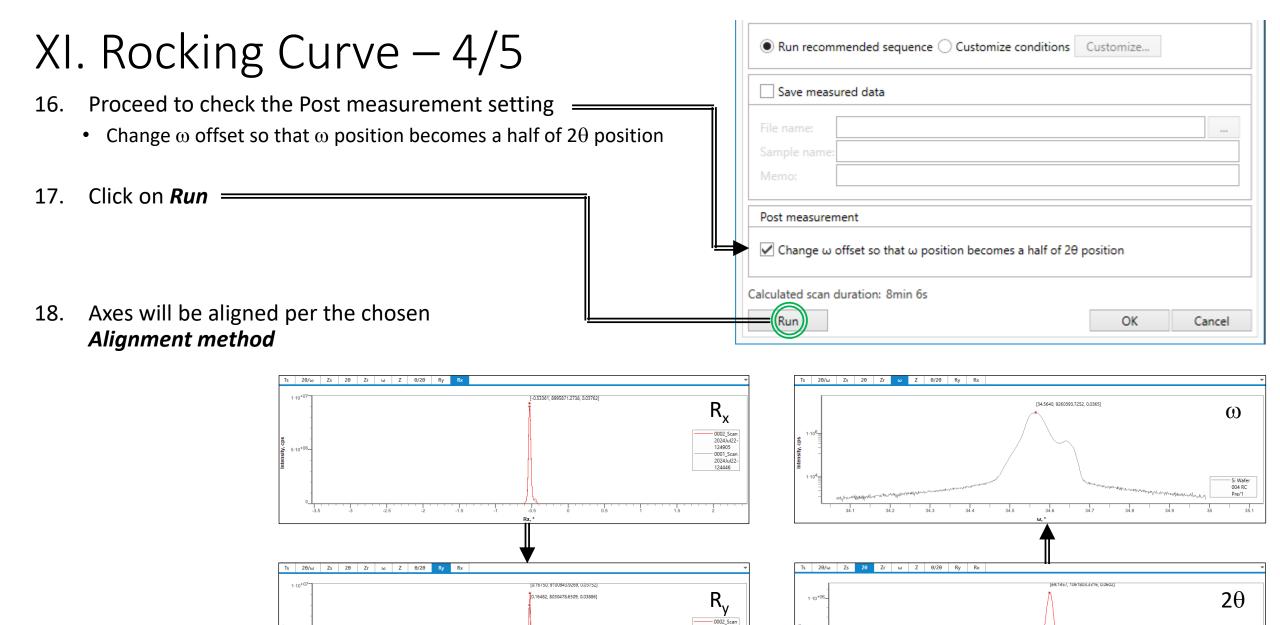
Alignment Method	Description
Without alignment	Drive each axis to the reflection position specified in the <b>Move origin</b> section. An additional alignment will not be performed.
Quick axis alignment	Drive each axis to the reflection position specified in the <b>Move origin</b> section, and align the $\omega$ and $\chi$ (or Rx) axes (for symmetric reflection) or $\phi$ axis (for asymmetric reflection).
Recursive axis alignment	Drive each axis to the reflection position specific in the <b>Move origin</b> section, and perform the $\omega$ scan as driving the $\chi$ (or Rx) axis (for symmetric reflection) or $\varphi$ axis (for asymmetric reflection) step-by-step. Plot the peak intensity vs. the $\chi$ (or Rx) or $\varphi$ axis to the optimized position, then align the $\omega$ axis.
Standard axis alignment	Drive each axis to the reflection position specified in the <b>Move origin</b> section, and perform the Rx, Ry scan to face the φ axis to the normal of the lattice plane. Then, align the ω axis.
Precise axis alignment	Drive each axis to the reflection position specified in the <b>Move origin</b> section, and perform the $\omega$ scan at four positions ( $\varphi = 0^{\circ}$ , 180°, 90°, -90°) to face the $\varphi$ axis to the normal of the lattice plane. Then, align the $\omega$ (and $\chi$ ) axes.



- 14. If available, also recommend performing:
  - Optimize  $2\theta$
  - Axis realignment after  $2\theta$  optimization
- 15. Before proceeding, check that your *Origin Position* is set to desired plane using *RS Viewer* before proceeding

NOTE: Remember to check if your  $\phi$  needs to be optimized first

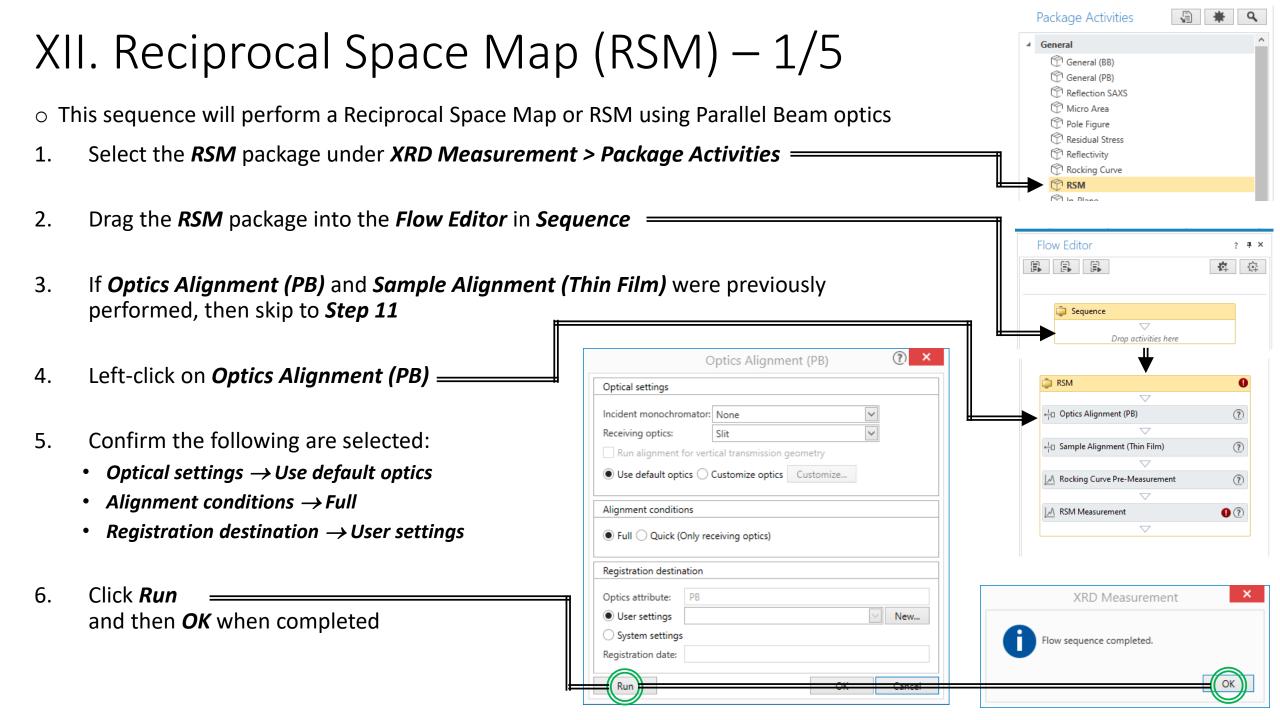




124758

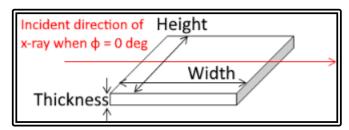
-0001\_Scan 2024Jul222024Jul22-

#### Rocking Curve XI. Rocking Curve – 5/5 ↓□ Optics Alignment (PB) ↓□ Sample Alignment (Thin Film) Left-click on **Rocking Curve Measurement** = ∧ Rocking Curve Pre-Measurement 0? ∧ Rocking Curve Measurement Determine the *Range* if choosing to *Run recommended sequence*: = Narrow Rocking Curve Measurement Normal Rocking curve measurement conditions Wide Specify 2θ position, °: 69.1280 Scan axis: Receiving optics: Slit ○ Narrow ● Normal ○ Wide Select *Customize conditions* if you wish Run recommended sequence Customize conditions Customize... Input your desired *File name* and *File location* here Save measured data C:\SmartLab Studio II\Measurement Data\Manac Click **OK** then click **Run** Sample name: Memo: Ts 2θ/ω Zs 2θ Zr ω Z θ/2θ Ry Rx Calculated scan duration: 2min 30s OK Cancel 1-102 Mrs Appert May 19/18/11 May be make your full brish of grand as marked your desired and marked and the second as the secon 34.5



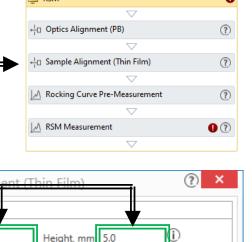
### XII. Reciprocal Space Map (RSM) – 2/5

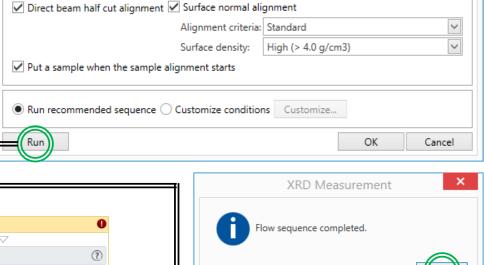
- 7. Left-click on Sample Alignment (Thin Film) \_\_\_\_\_
- 8. Input your *Sample Info* per the dimensions



- 9. Confirm the following are checked:
  - Direct beam half cut alignment
  - Surface normal alignment
  - Put a sample when the sample alignment starts
- 10. Click *Run* and then *OK* when completed







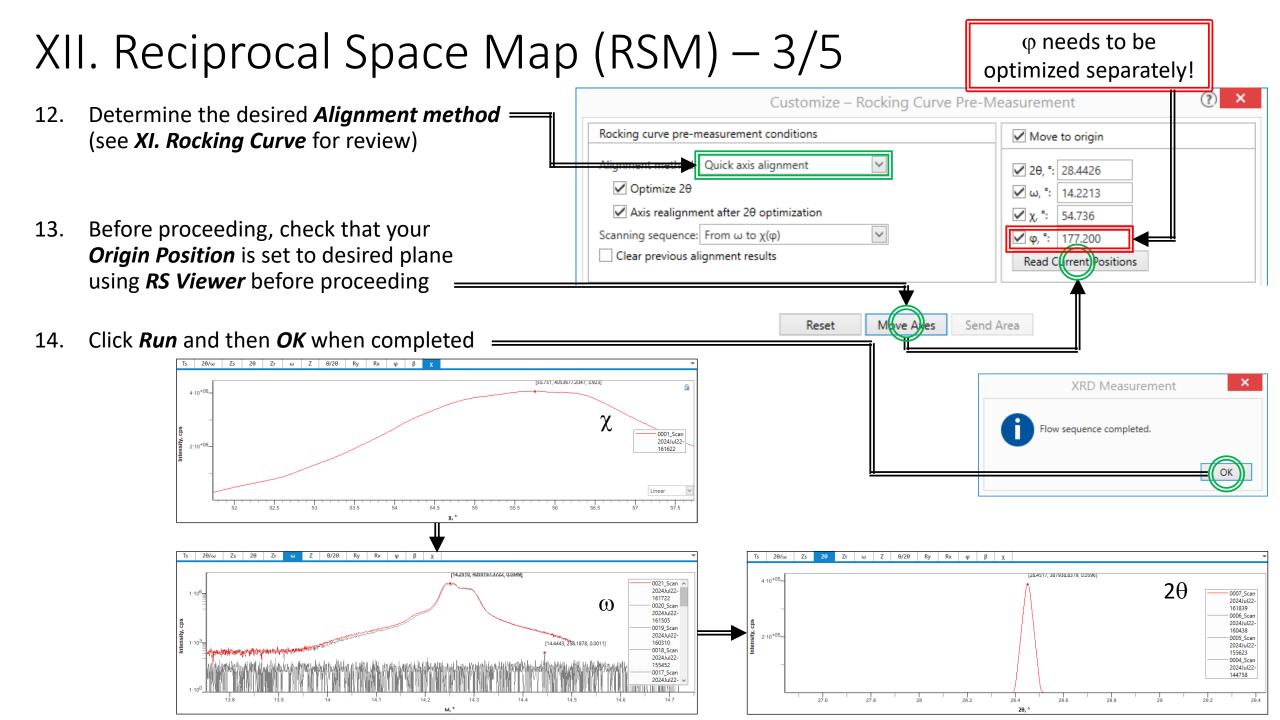
Width, mm: 5.0

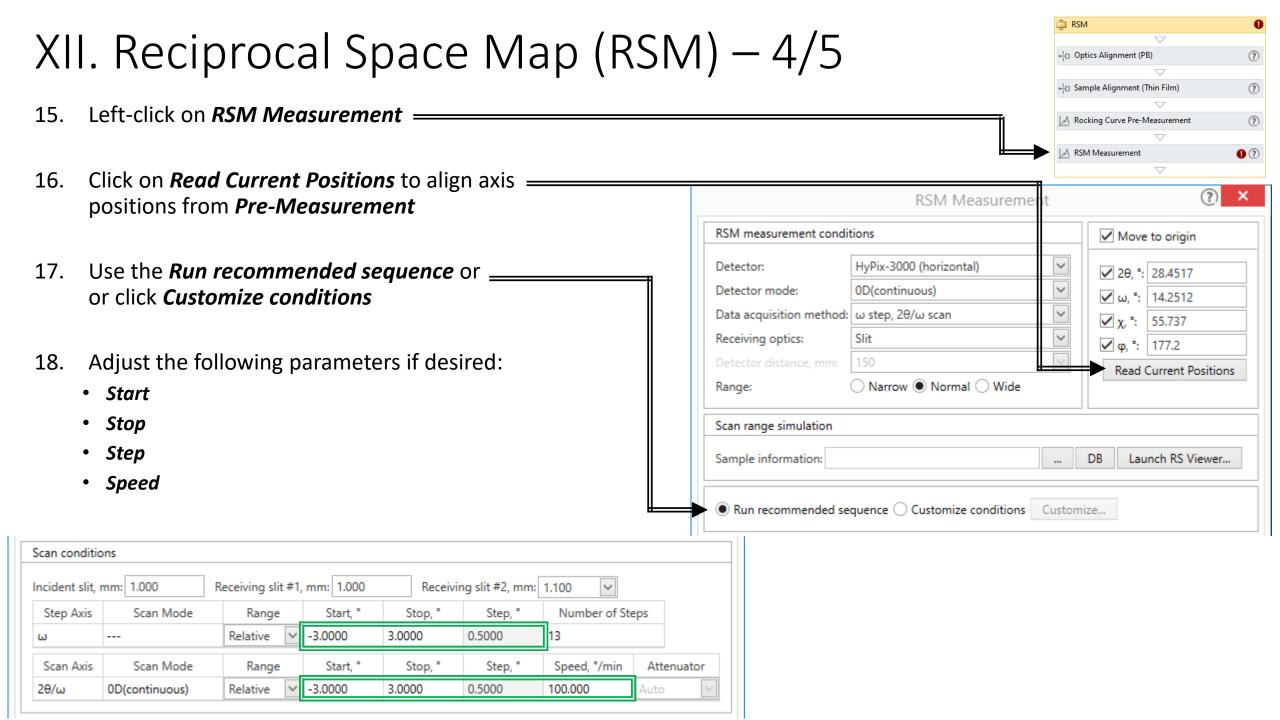
Attachment and sample plate: RxRy attachment head + 4-inch wafer sample plate

Sample information

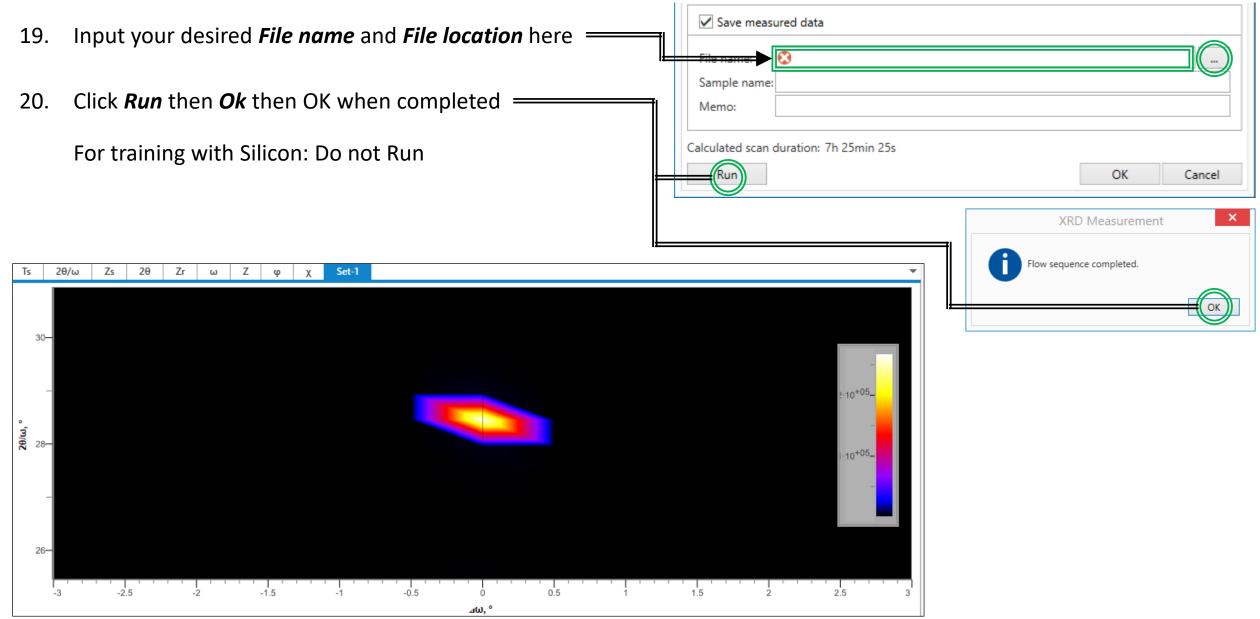
Thickness, mm: 0.5

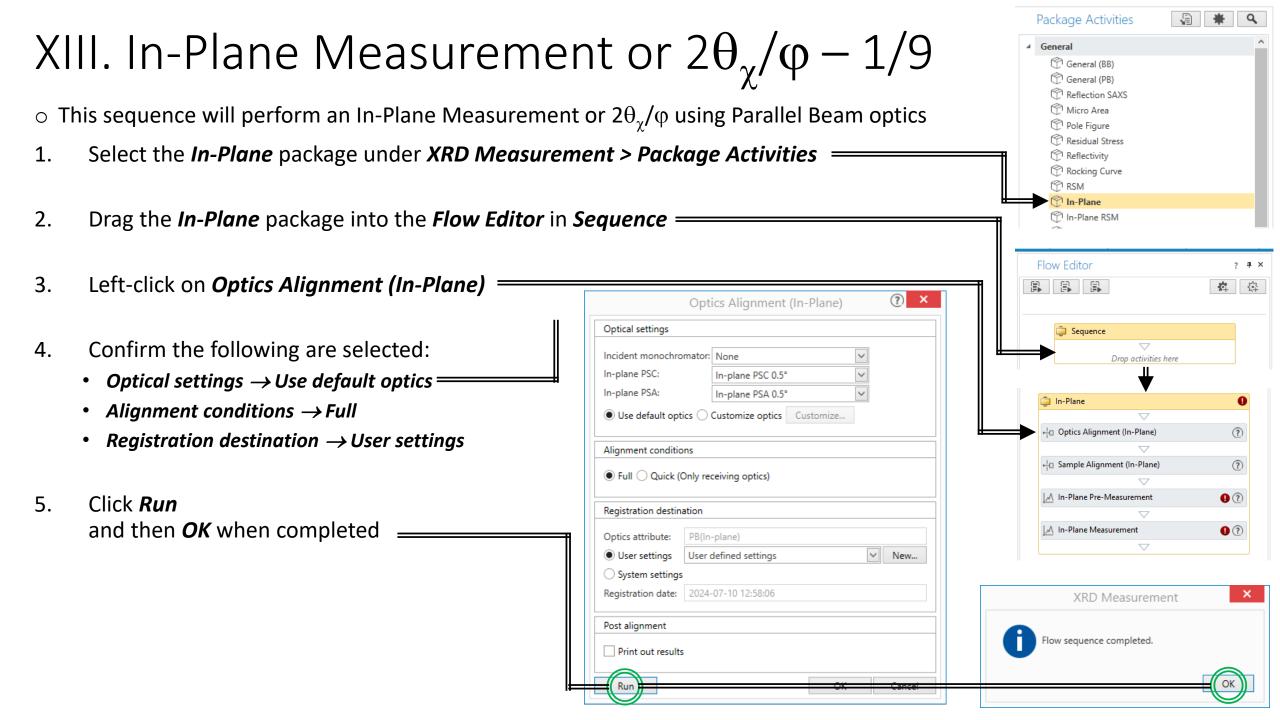
Alignment conditions





#### XII. Reciprocal Space Map (RSM) – 5/5



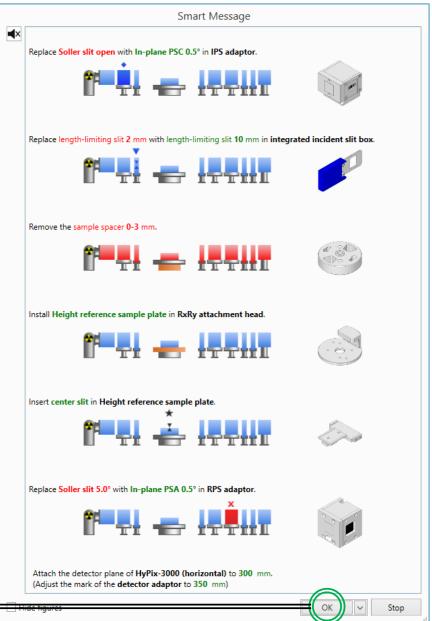


## XIII. In-Plane Measurement or $2\theta_{\chi}/\phi - 2/9$

6. A **Smart Message** may appear indicating all the optics and attachments that need to be **removed** (indicated in **RED**) and those that need to be **installed** (indicated in **GREEN**)

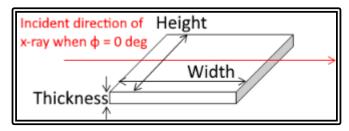
Reminder: Window Protector must be inserted to protect Detector when swapping out Receiving Optics!

Click **OK** when completed  $2\theta_{\gamma}$ **(1)** 2θχ Ts 2θ/ω Zs 2θ Zr ω  $2\theta/\omega$  $2\theta$ 0001\_Scan 2024Jul23-102344



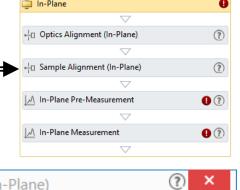
## XIII. In-Plane Measurement or $2\theta_{\chi}/\phi - 3/9$

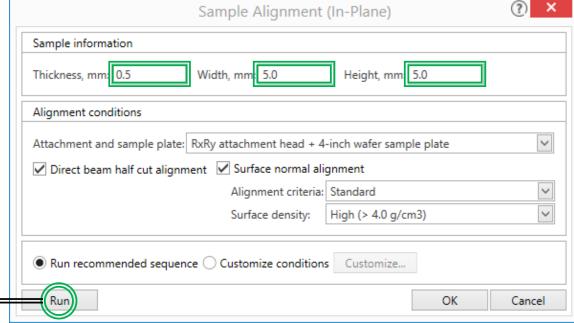
- 8. Left-click on Sample Alignment (In-Plane) to set Sample Info
- 9. Input your *Sample Info* per the dimensions

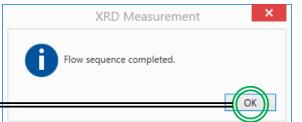


For training with Silicon: Thickness = 0.5 mm; Width & Height = 5 mm

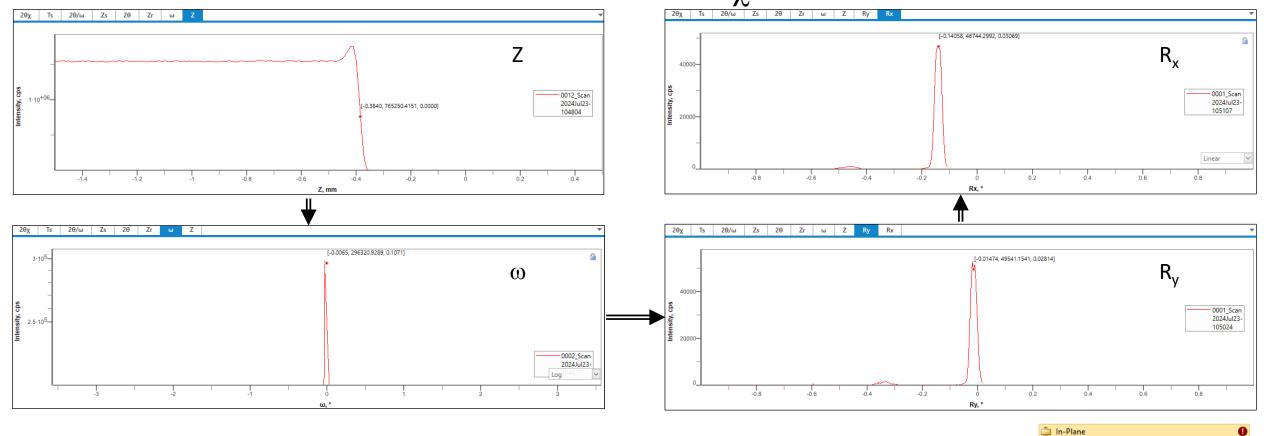
- 10. Confirm the following are checked:
  - · Direct beam half cut alignment
  - Surface normal alignment
  - Put a sample when the sample alignment starts
- 11. Click **Run** :
- 12. If Sample Alignment (In-Plane) fails, try changing surface density (e.g. High)
- 13. Wait for the *Flow sequence completed* prompt to continue by clicking *OK*



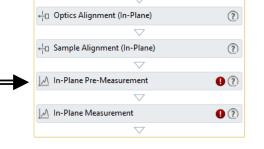




## XIII. In-Plane Measurement or $2\theta_{\chi}/\phi - 4/9$

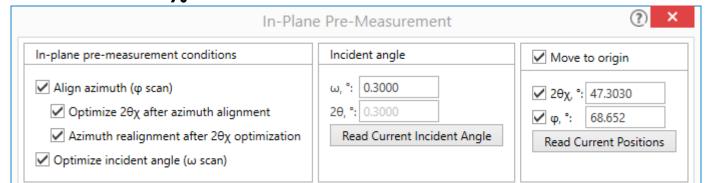


14. Left-click on *In-Plane Pre-Measurement* =

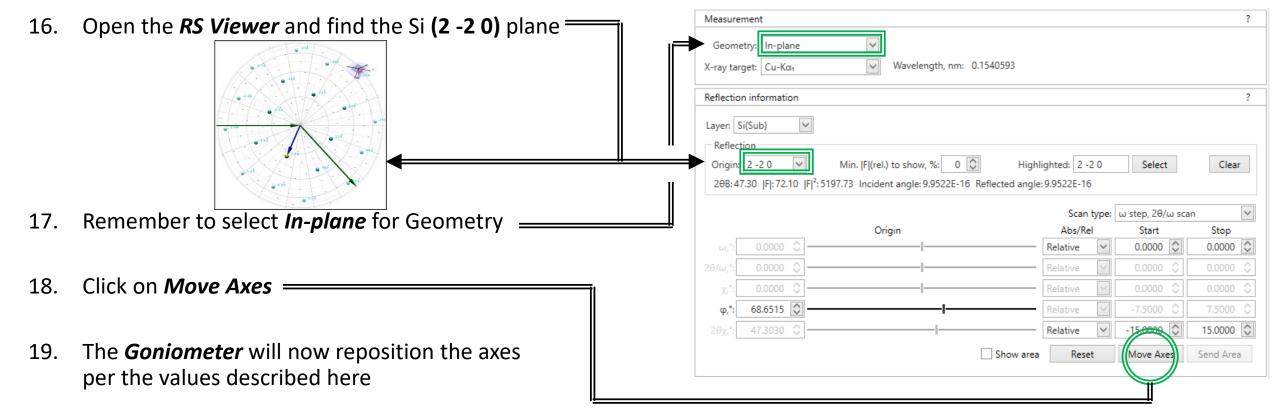


## XIII. In-Plane Measurement or $2\theta_{\chi}/\phi - 5/9$

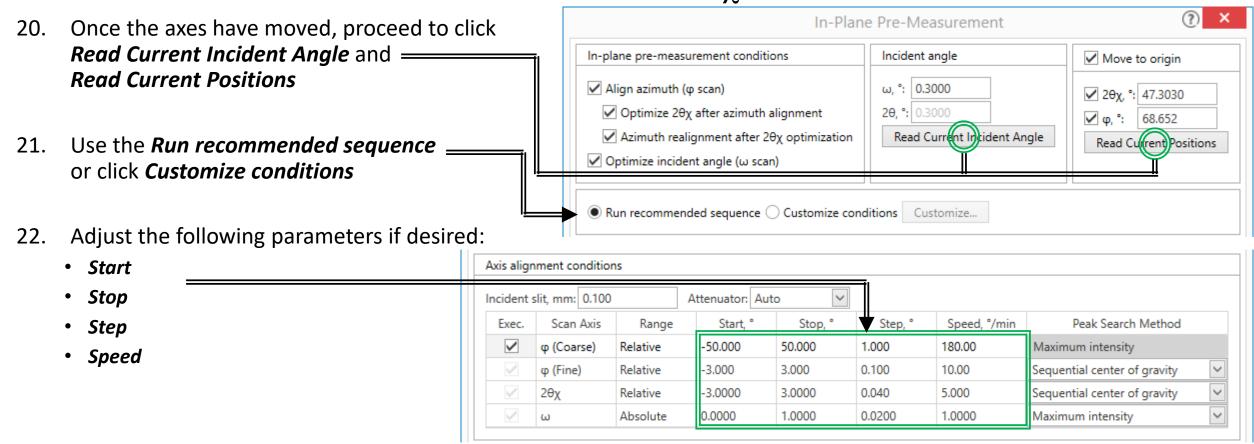
- 15. Recommend the following is checked:
  - Align azimuth (φ scan)
  - Optimize  $2\theta_{\gamma}$  after azimuth alignment
  - Azimuth realignment after  $2\theta_{\gamma}$  optimization
  - Optimize incident angle (ω scan)



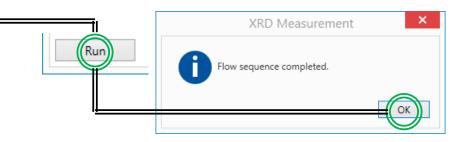
• Note: The following is for training purposes to learn how to move goniometer axes for In-Plane





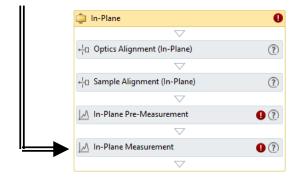


23. Click *Run* and then *OK* when completed

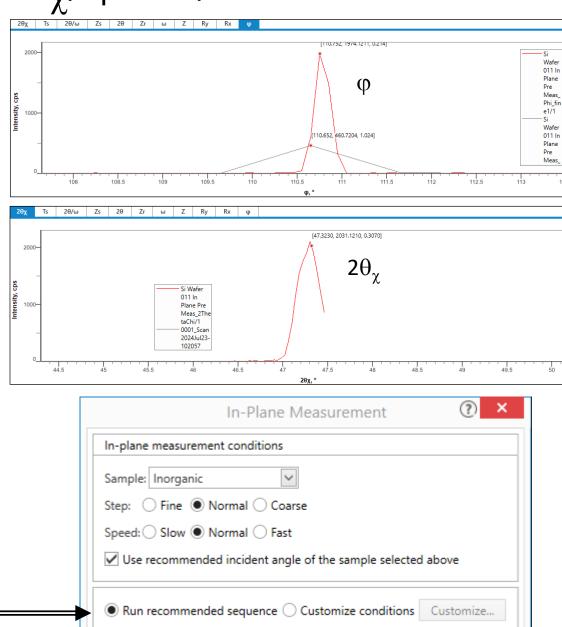


## XIII. In-Plane Measurement or $2\theta_{\chi}/\phi - 7/9$

- 20. Once completed, the optimal  $\phi$  and  $2\theta_{\chi}$  parameters should be determined
- 21. If the parameters are not clearly optimized, check if the search is wide enough or if the initial parameters were appropriate
- 22. Left-click on *In-Plane Measurement*



23. Use the *Run recommended sequence* or click *Customize conditions* 



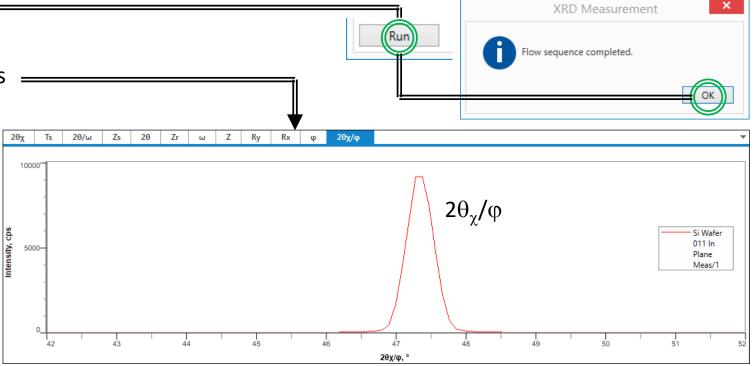
## XIII. In-Plane Measurement or $2\theta_{\chi}/\phi - 8/9$

Customize - In-Plane Measurement Click on **Read Current Positions** so that both the  $2\theta\chi$  and  $\phi$  are updated here if In-plane measurement conditions Set incident angle Move to origin Move to origin is checked Sample: Inorganic ✓ 20x, °: 0.0000 Step: Fine Normal Coarse φ, °: 0.000 Speed: Slow Normal Fast Read Current Incident Angle Read Current Positions Use recommended incident angle of the sample selected above ✓ Manual exchange slit conditions Adjust the following parameters if desired: In-plane PSC: In-plane PSA: In-plane PSA 0.5° In-plane PSC 0.5° • Start Length-limiting slit: 10 mm Read Current Optics Stop Scan conditions Step Incident slit, mm: 0.100 Speed Speed, °/min Scan Axis Scan Mode Range Start. ° Stop, ° Step, ° Attenuator ∨ 0D(continuous) 42.000 Absolute 52,000 0.096 5.00  $2\theta\chi/\phi$ Auto For training with Silicon: See example Calculated scan duration: 2min Set Recommended Values OK Cancel Save measured data Input your desired *File name* and = File location here asurement Data\Manager\Silicon Wafer Training Manual Scans\Si Wafer 011 In Plane Pre Meas.rass Sample name: Memo:

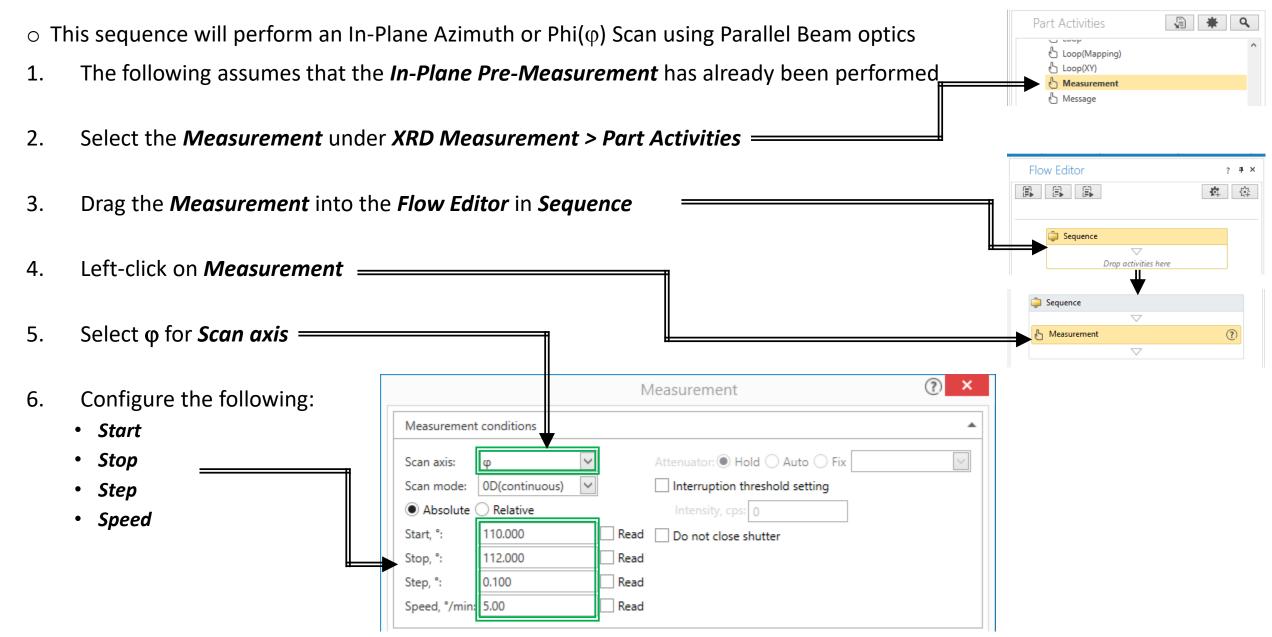
## XIII. In-Plane Measurement or $2\theta_{\chi}/\phi - 9/9$

27. Click *Run* and then *OK* when completed

28. The  $2\theta_{\chi}/\phi$  measurement should look like this

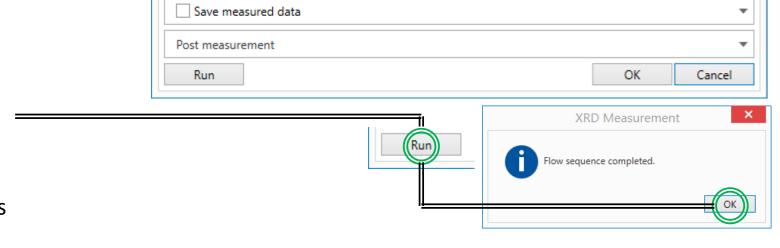


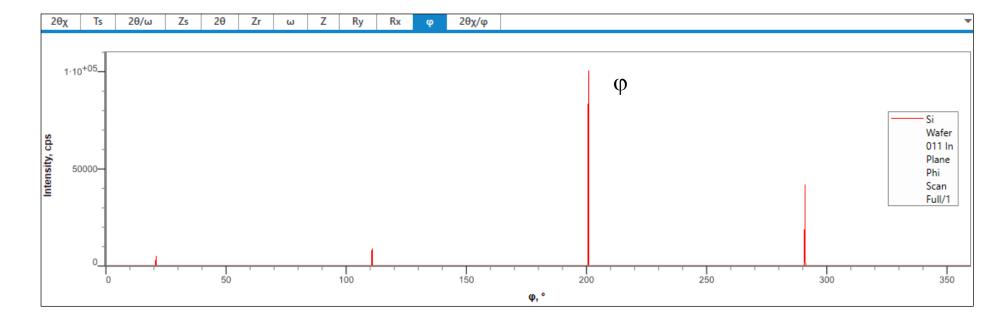
## XIV. In-Plane Azimuth or Phi( $\phi$ ) Scan -1/2

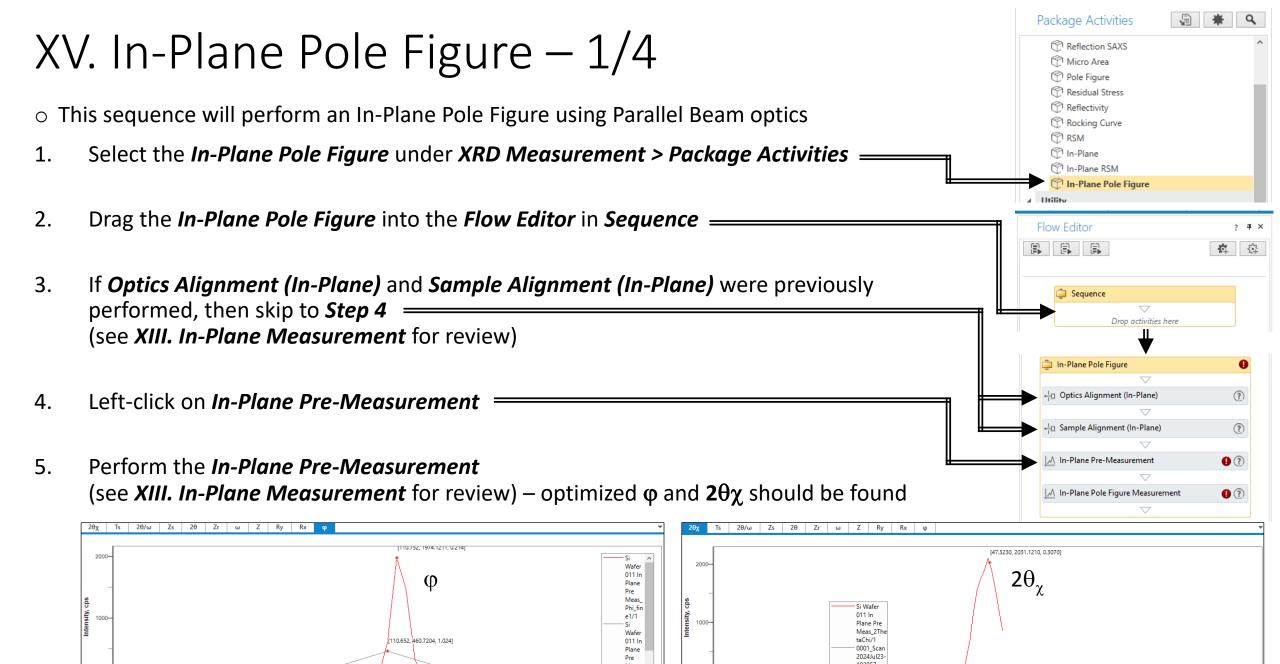


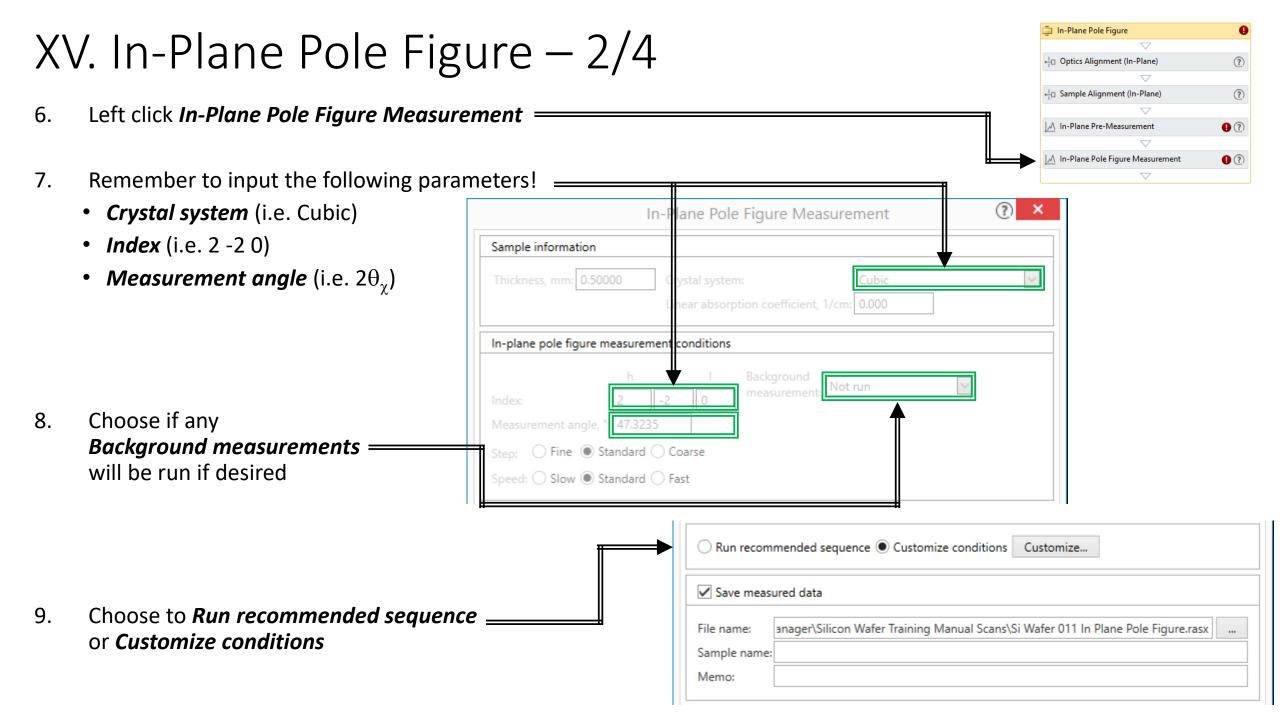
## XIV. In-Plane Azimuth or Phi( $\phi$ ) Scan -2/2

- 7. Input your desired *File name* and *File location* here
- 8. Click *Run* and then *OK* when completed
- 9. If the parameters were chosen properly, you should eventually see a series of peaks appear at the appropriate  $\phi$  positions



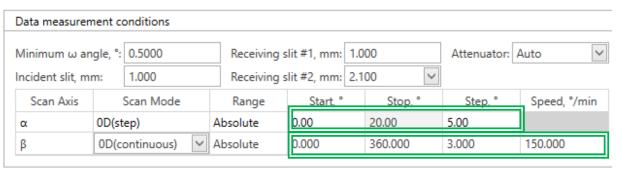






## XV. In-Plane Pole Figure – 3/4

- 10. Depending on your **Step** chosen, be aware that it may not be sufficient so choose the following carefully for  $\alpha$  scan axes!
  - Start
  - Stop
  - Step
  - Speed



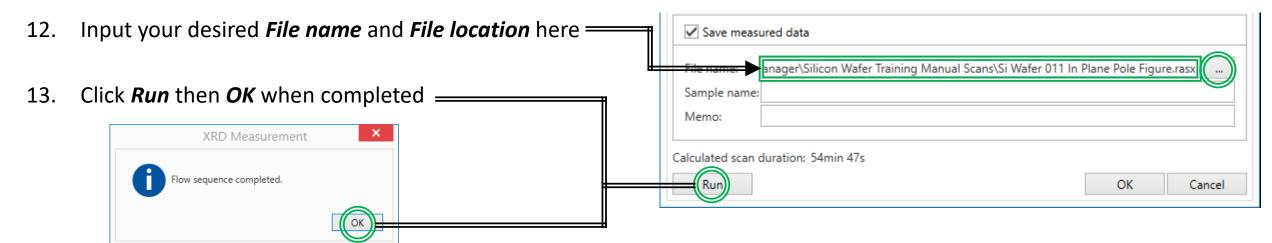
Remember that  $\alpha = \chi$  for in-plane!

(Note: Will the peaks appear for  $\alpha$  and  $\beta$  if arbitrarily chosen?)

11. If **Background measurements** were selected, determine the desired conditions

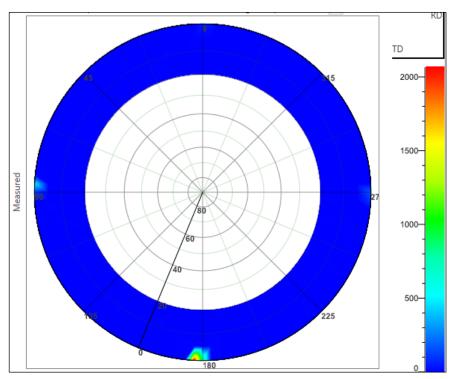
✓ Background measurement conditions Background #1 Background #2 Receiving Receiving Step Receiving Receiving Step, ° 2θ Angle, ° 2θ Angle, ° Geometry Slit #1, mm Slit #2, mm Slit #1, mm Slit #2, mm √ 31.7480 Reflection 5.000 25,7480 10.000 9.900 10.000 9.900 Background Data Acquisition Method Scan Mode Stop, ° Scan Axis Start. ° Step, ° Duration, s Range 1 point (β = Minimum intensity) ✓ Fixed time Absolute 1.5

## XV. In-Plane Pole Figure – 4/4



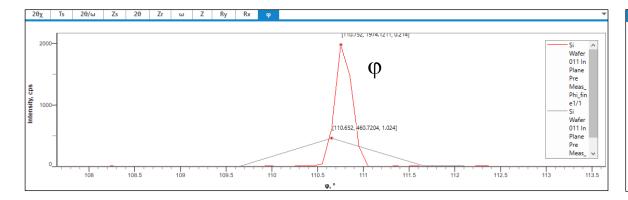
For training with Silicon: Do not Run

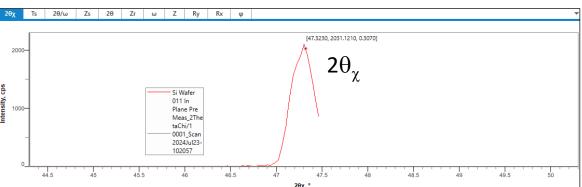
14. If the parameters were chosen properly, you should eventually see a series of peaks appear at the appropriate  $\alpha$  and  $\beta$  positions



## XVI. In-Plane RSM -1/3

- This sequence will perform an In-Plane RSM using Parallel Beam optics
- 1. Select the *In-Plane RSM* under *XRD Measurement > Package Activities*
- 2. Drag the *In-Plane RSM Figure* into the *Flow Editor* in *Sequence*
- 3. If *Optics Alignment (In-Plane)* and *Sample Alignment (In-Plane)* were previously performed, then skip to *Step 4* (see *XIII. In-Plane Measurement* for review)
- 4. Left-click on *In-Plane Pre-Measurement*
- 5. Perform the *In-Plane Pre-Measurement* (see *XIII. In-Plane Measurement* for review) optimized  $\phi$  and  $2\theta\chi$  should be found





Package Activities

Reflection SAXS
Micro Area
Pole Figure
Residual Stress

Rocking Curve

In-Plane RSM

Drop activities here

?

**(?)** 

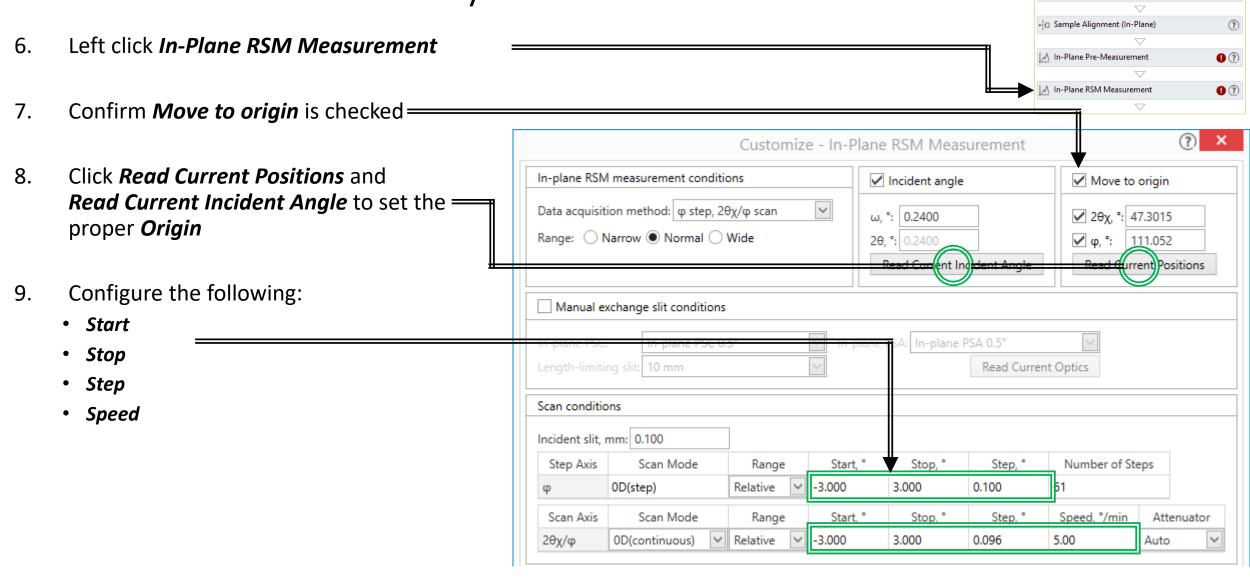
Flow Editor

In-Plane RSM

In-Plane Pre-Measurement

In-Plane RSM Measurement

## XVI. In-Plane RSM -2/3

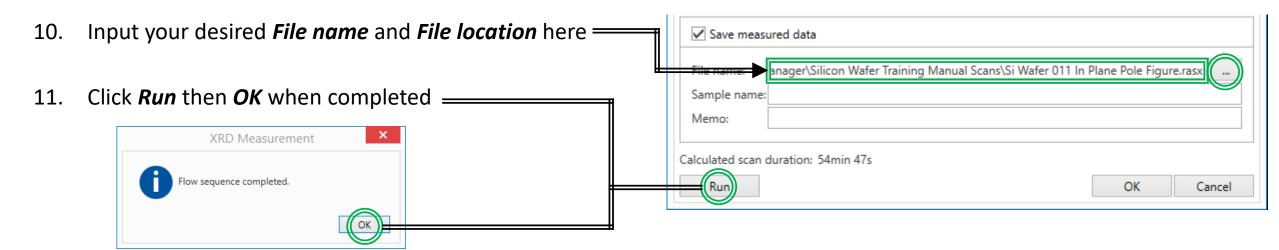


in-Plane RSM

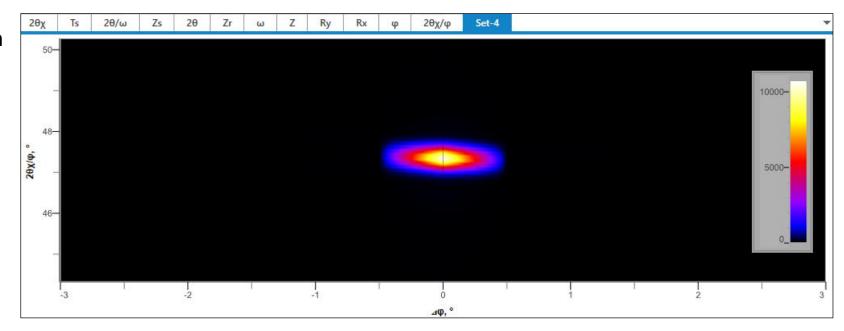
Optics Alignment (In-Plane)

?

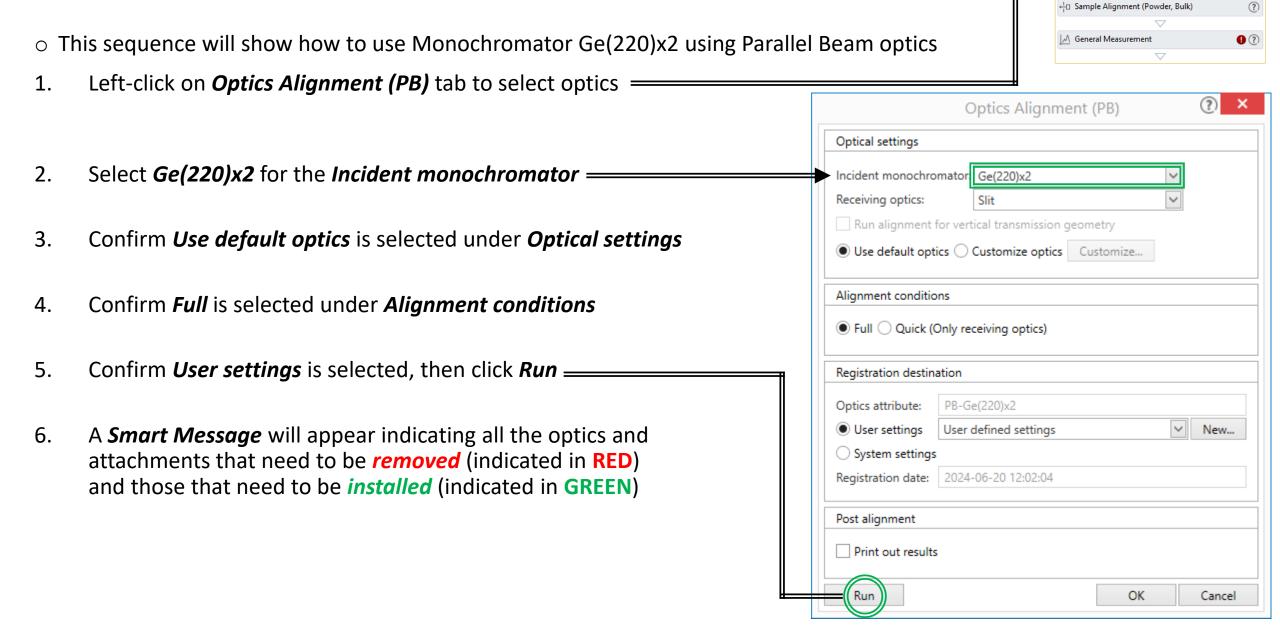
#### XVI. In-Plane RSM -3/3



For training with Silicon: Do not Run



## XVII. Monochromator Ge(220)x2 - 1/2

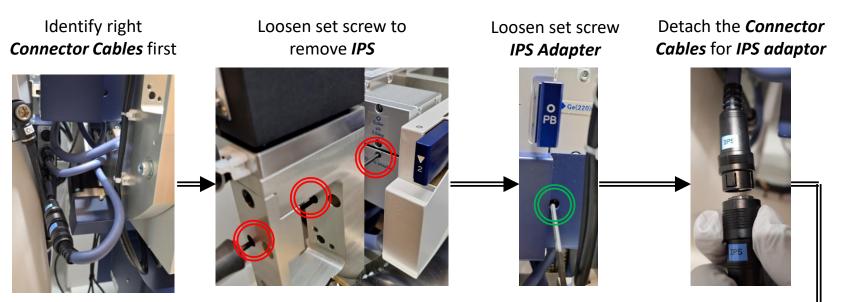


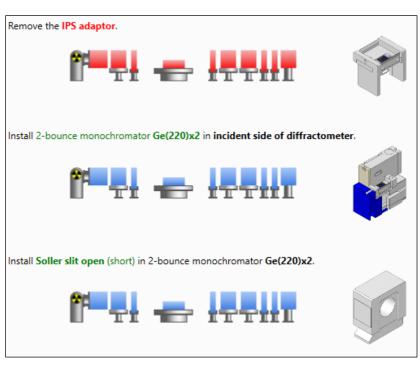
General (PB)

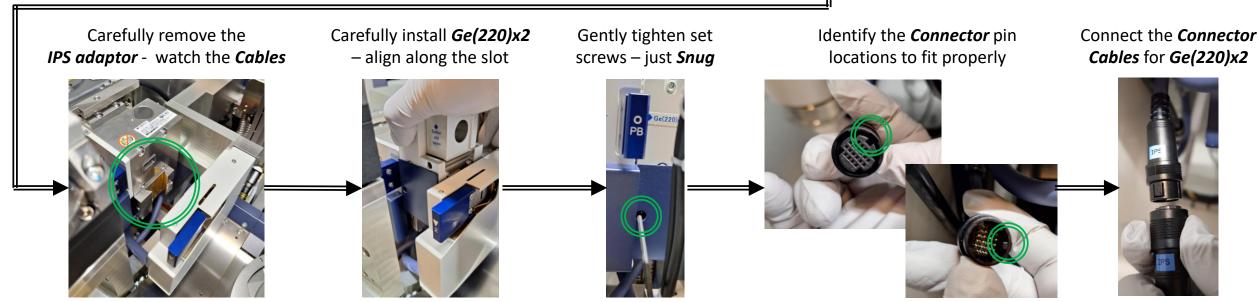
Optics Alignment (PB)

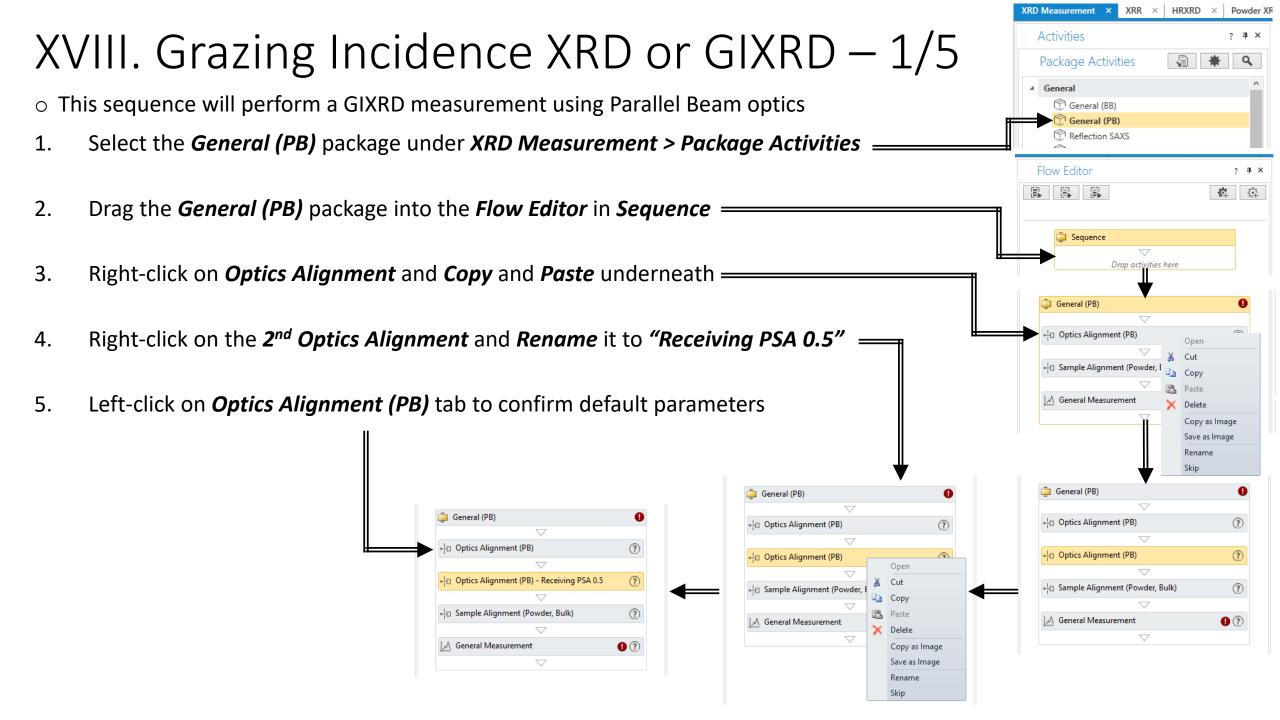
## XVII. Monochromator Ge(220)x2 - 2/2 Remove the IPS adaptor.

7. To remove the *IPS adaptor* and install the *Ge(220)x2* perform the following:



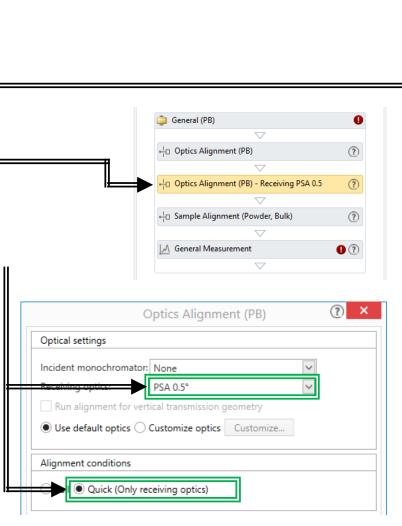


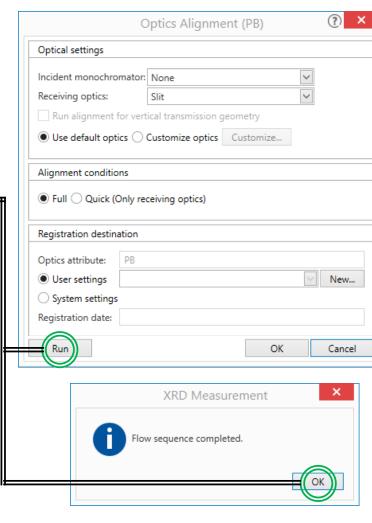




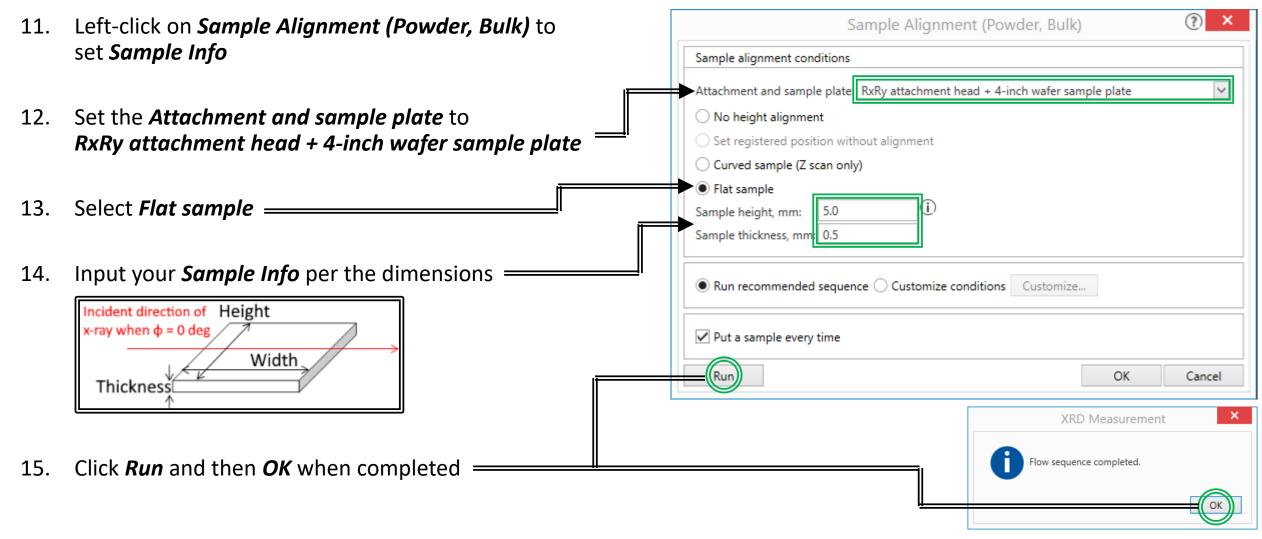
XVIII. Grazing Incidence XRD or GIXRD – 2/5

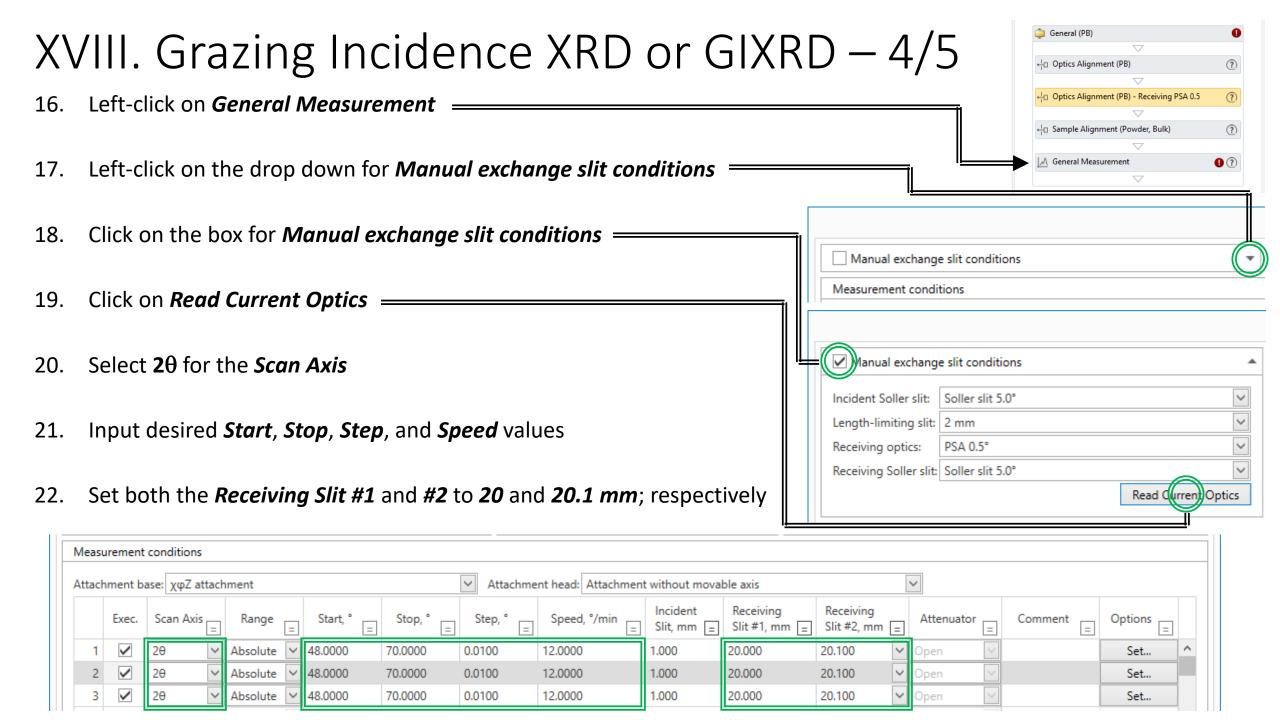
- 6. Confirm the following are selected:
  - Optical settings → Use default optics
  - Alignment conditions → Full
  - Registration destination → User settings
- 7. Click *Run* and then *OK* when completed
- 8. Left-click on *Optics Alignment (PB) Receiving PSA 0.5* tab
- 9. Change the **Receiving optics** to **PSA 0.5**° and check **Quick (Only receiving optics)**
- 10. Click *Run* and then *OK* when completed as well





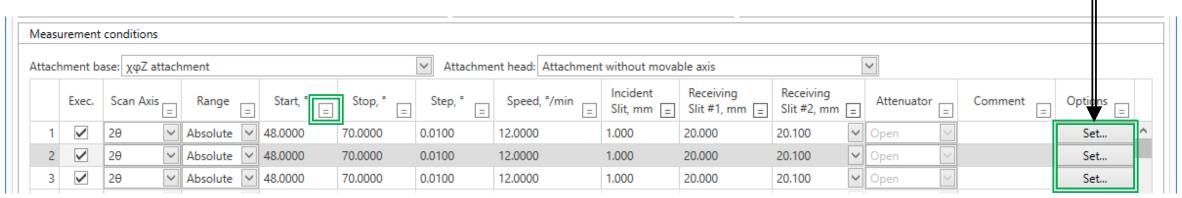
## XVIII. Grazing Incidence XRD or GIXRD – 3/5



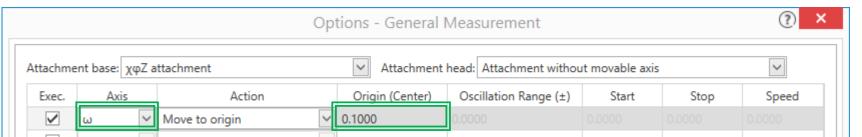


## XVIII. Grazing Incidence XRD or GIXRD – 4/5

23. Left-click on *Set...* 



- 24. Set  $\omega$  as the **Axis**
- 25. Set the *Origin* to desired value typically ranging from **0.1 1**°



- 26. You may wish to vary the  $\omega$  values to see the impact on the angle to your desired peak
- 27. Clicking on the | = | box will *Copy* the value from the top row if you choose to keep the values the same for each row

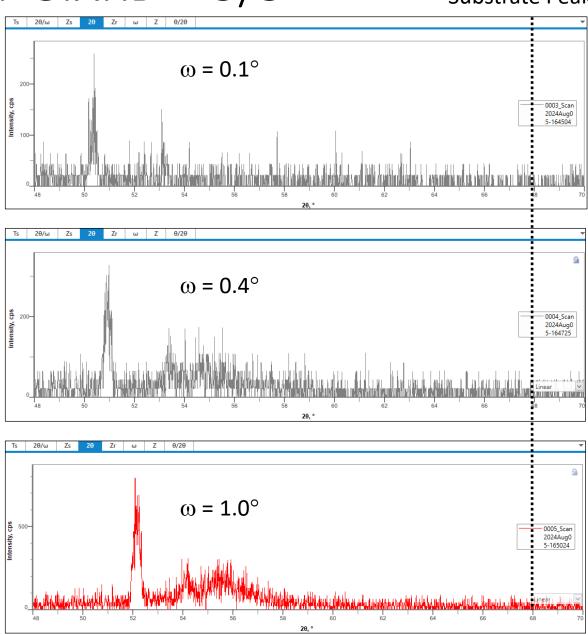
## XVIII. Grazing Incidence XRD or GIXRD – 5/5

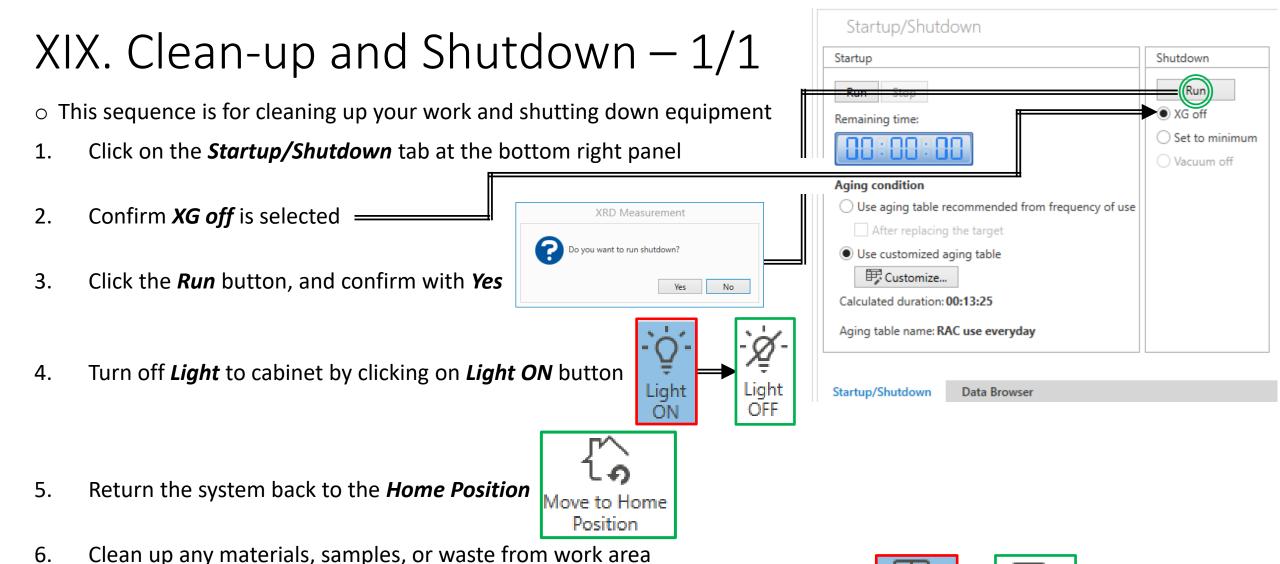
#### Substrate Peak

28. Click on *Run* then *OK* when completed

Separ	ate measured file	
File name	25	
Sample r	ame:	
Memo:		
Run rea	home position after the measurement com I-time search match scan duration: 6min 31s	
Run rea	l-time search match	
Run rea	l-time search match	

29. You may wish to run different values of  $\omega$  for comparison

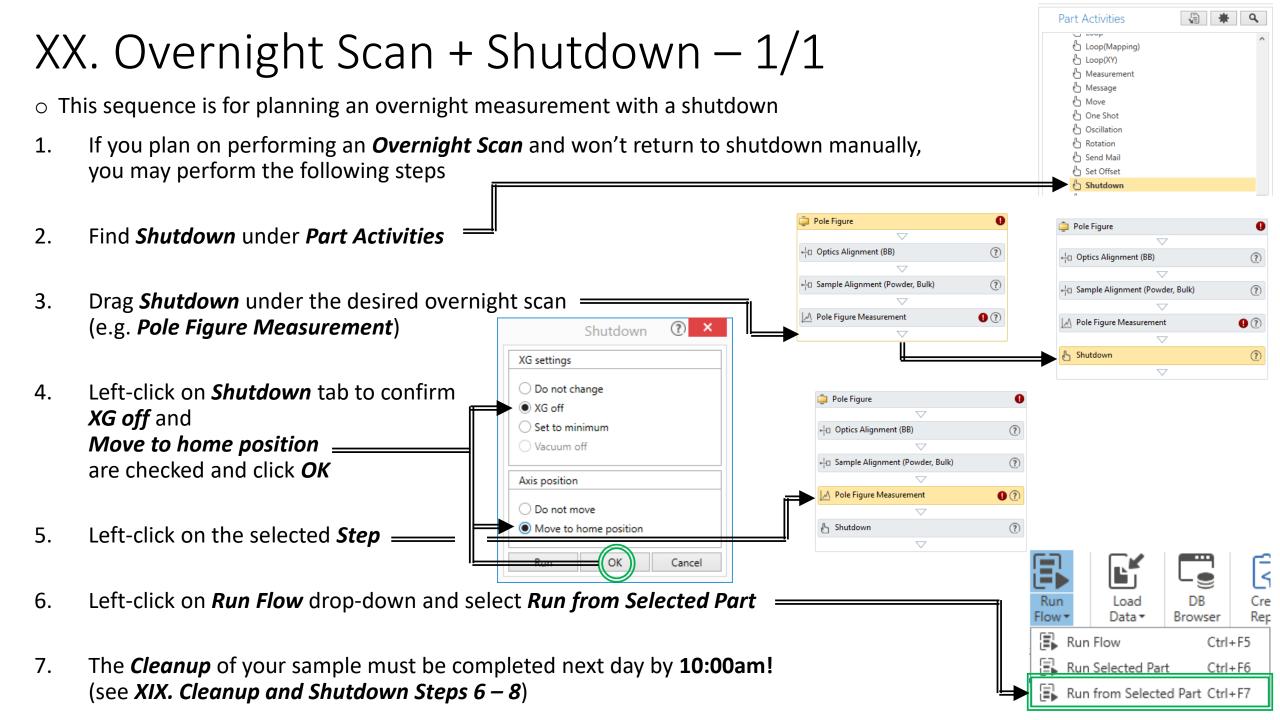




Door

Door Lock ON

- o. Clean up any materials, samples, or waste from work area
- 7. Close the *Doors* to cabinet, and lock doors by clicking on *Door Lock OFF* button
- 8. Close the **Software** by clicking on the **X** and record your time and make any notes in the **Sign-In Sheet**



## TS-A. Initial Power Up

This sequence is only used for Initial Power Up (power completely off)

1. The following should ONLY be performed if instructed by the *Lab Manager* 

2. Toggle the *Safety Key* to the right and release =



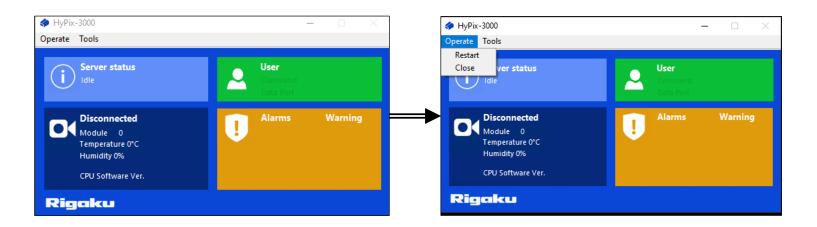
3. Cabinet will perform *Power Up* sequence

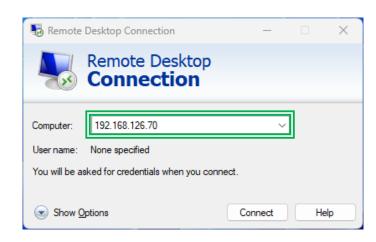
4. The **Power ON** lights will illuminate

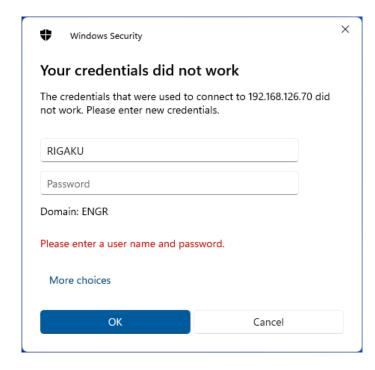


## TS-B. Hypix Detector Troubleshooting

- This sequence is only used for troubleshooting the Hypix Detector
- 1. If the *Hypix Detector* needs to be remotely connected to troubleshoot...
- 2. Click on *Remote Desktop Connection* and connect to **192.168.126.70**
- 3. No password needed to access
- Provides status of detector
- 5. Click on *Operate -> Restart* to reset *Alarms* if necessary







# END OF SLIDES